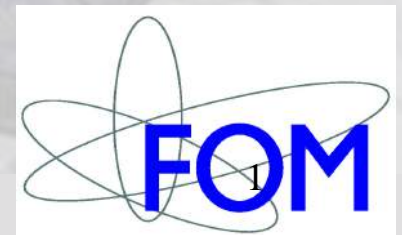
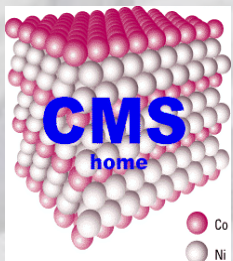


## Two-dimensional (2D) materials

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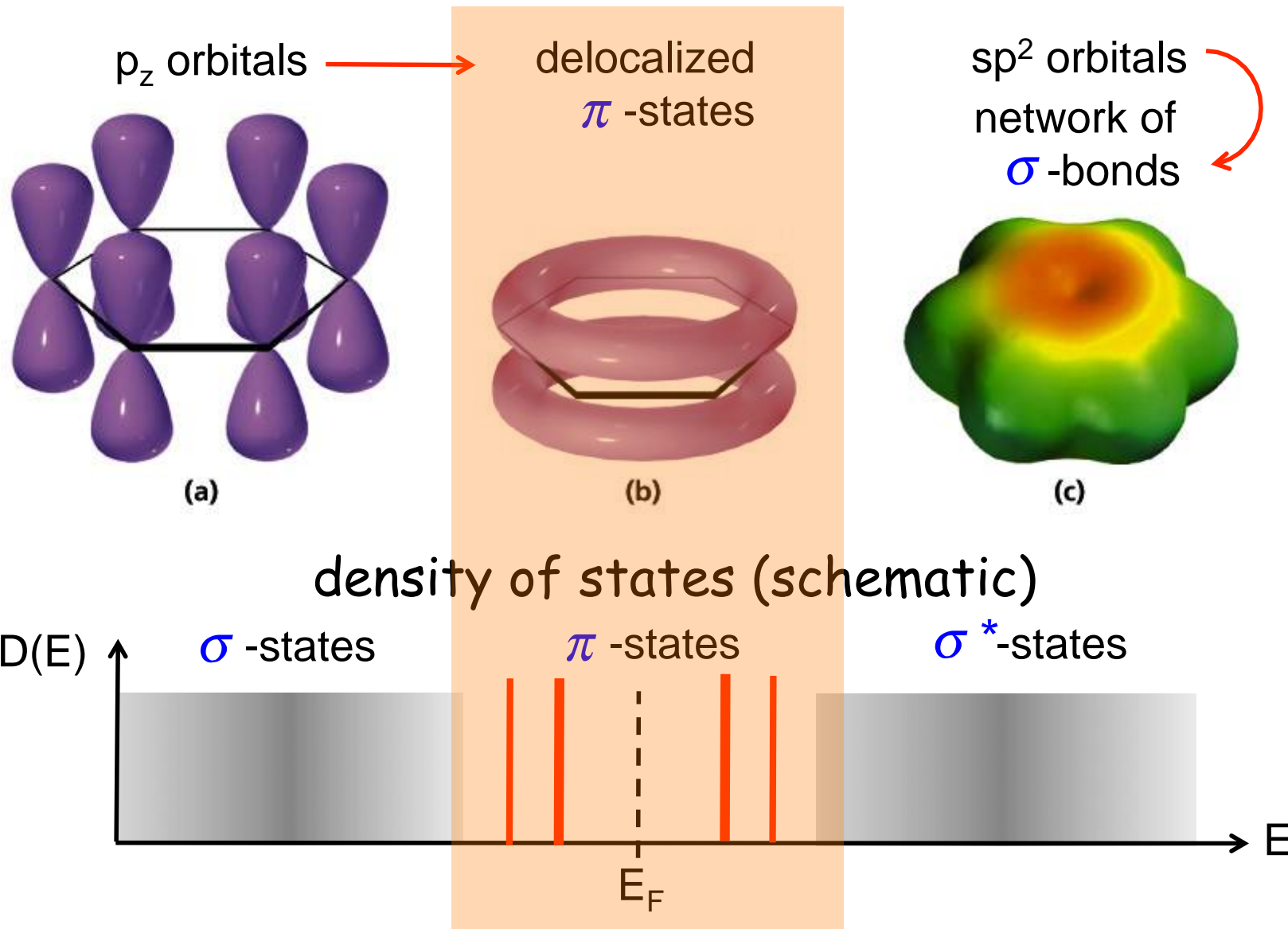


# a crash course in solid state electronic structure theory

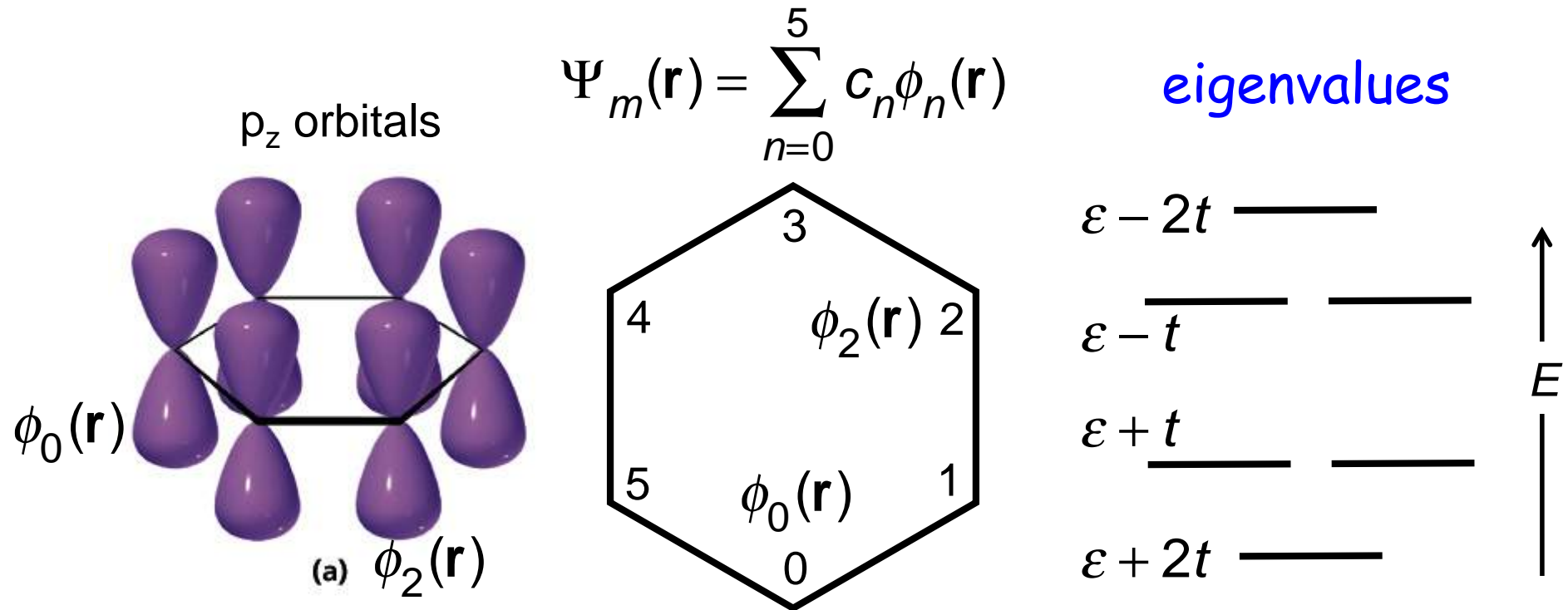
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# The benzene molecule



# The benzene molecule: Hückel for $\pi$ -states

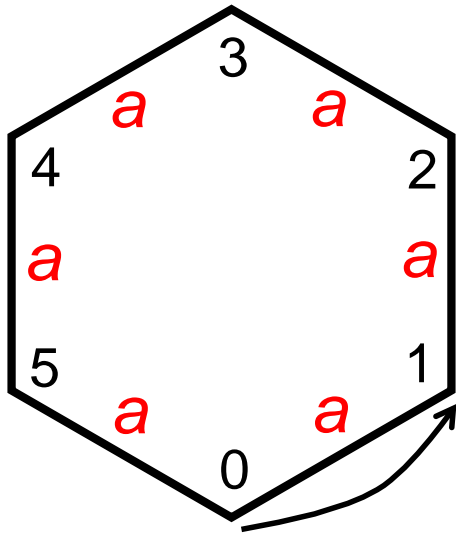


$$\hat{H}\Psi_m(\mathbf{r}) = E_m \Psi_m(\mathbf{r}) \rightarrow \underline{H}\underline{c}_m = E_m \underline{c}_m \quad \text{6 X 6 problem}$$

$$[\underline{H}]_{nn} = \langle \phi_n | \hat{H} | \phi_n \rangle \equiv \varepsilon \quad [\underline{H}]_{nn\pm 1} = \langle \phi_n | \hat{H} | \phi_{n\pm 1} \rangle \equiv t$$

$$[\underline{H}]_{np} = 0; p \neq n, n \pm 1$$

# Hückel for $\pi$ -states: the solid state way



basis set transformation

$$\psi_k(\mathbf{r}) = \frac{1}{\sqrt{6}} \sum_{n=0}^5 e^{ikR_n} \phi_n(\mathbf{r}) \quad \left\{ \begin{array}{l} R_n = n\mathbf{a}; n = 0, \dots, 5 \\ k = m \frac{2\pi}{6a}; m = 0, \dots, 5 \end{array} \right.$$

$$[U]_{kn} = \frac{1}{\sqrt{6}} e^{ikR_n} \quad \text{is a unitary transformation}$$

$$\langle \psi_{k'} | \psi_k \rangle = \delta_{k'k}$$

Hamiltonian becomes diagonal

$$[\underline{H}]_{k'k} = \langle \psi_{k'} | \hat{H} | \psi_k \rangle = \delta_{k'k} (\varepsilon + 2t \cos(ka))$$

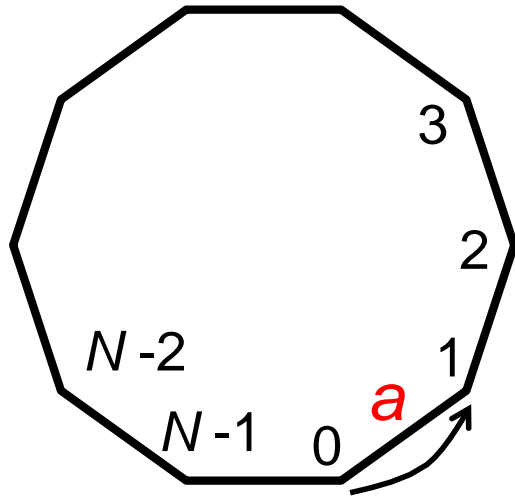
eigenvalues

$$E_k = \varepsilon + 2t \cos(ka)$$

eigenstates

$$\psi_k(\mathbf{r}) \quad \text{"Bloch states"}$$

# solid state way: works for any ring



## basis set transformation

$$\psi_k(\mathbf{r}) = \frac{1}{\sqrt{N}} \sum_{n=0}^{N-1} e^{ikR_n} \phi_n(\mathbf{r}) \begin{cases} R_n = na; n = 0, \dots, N-1 \\ k = m \frac{2\pi}{Na}; m = 0, \dots, N-1 \end{cases}$$

$$[U]_{kn} = \frac{1}{\sqrt{N}} e^{ikR_n} \text{ is a unitary transformation}$$

$$\langle \psi_{k'} | \psi_k \rangle = \delta_{k'k}$$

Hamiltonian becomes diagonal

$$[H]_{k'k} = \langle \psi_{k'} | \hat{H} | \psi_k \rangle = \delta_{k'k} (\varepsilon + 2t \cos(ka))$$

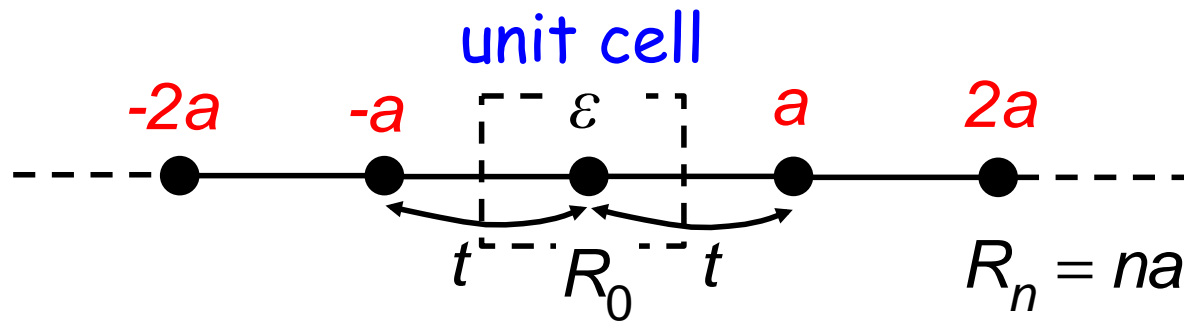
eigenvalues

$$E_k = \varepsilon + 2t \cos(ka)$$

eigenstates

$$\psi_k(\mathbf{r}) \text{ "Bloch states"}$$

# my first band structure: 1D periodic lattice



Born-von Karman  
boundary condition

$$R_{n+N} = R_n$$

ring-like topology

Bloch states

$$\psi_k(\mathbf{r}) = \frac{1}{\sqrt{N}} \sum_{n=0}^N e^{ikR_n} \phi_n(\mathbf{r}) \quad k = m\Delta k; \quad \Delta k = \frac{2\pi}{Na}$$

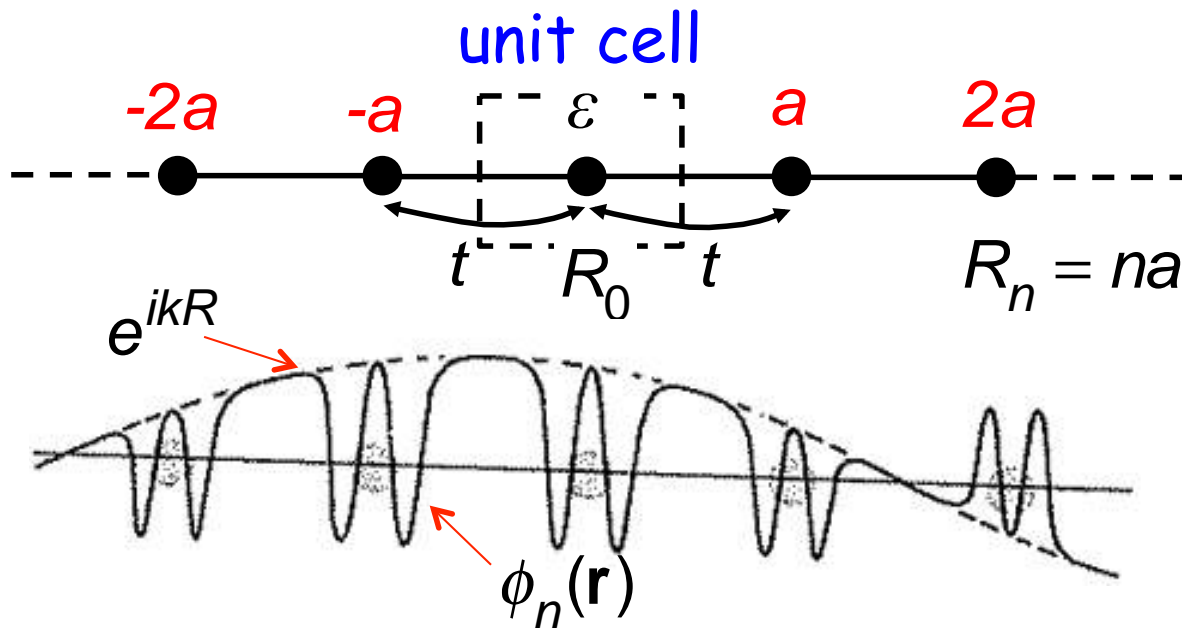
eigenvalues  $E_k = \varepsilon + 2t \cos(ka)$

are periodic in  $k$   $E_{k+N\Delta k} = E_k$   $\psi_{k+N\Delta k}(\mathbf{r}) = \psi_k(\mathbf{r})$

interval containing all information  $k \in \left(-\frac{\pi}{a}, \frac{\pi}{a}\right]$

"Brillouin zone"

# my first band structure: 1D periodic lattice



Bloch states are waves

$$\psi_k(\mathbf{r}) = \frac{1}{\sqrt{N}} \sum_{n=0}^N e^{ikR_n} \phi_n(\mathbf{r})$$

"dispersion relation"

$$E_k = \hbar\omega_k = \varepsilon + 2t \cos(ka)$$

group velocity of  
electron waves

$$v_g = \frac{d\omega_k}{dk}$$

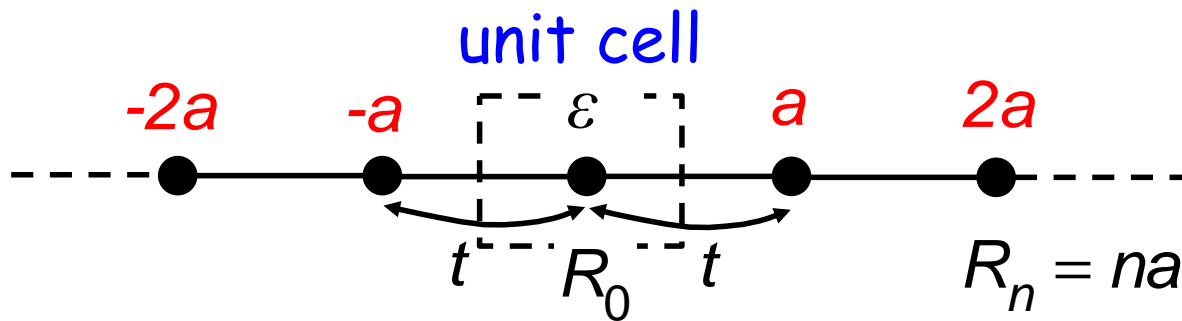
QM particle  
wave duality

$$p = \hbar k \quad \longrightarrow$$

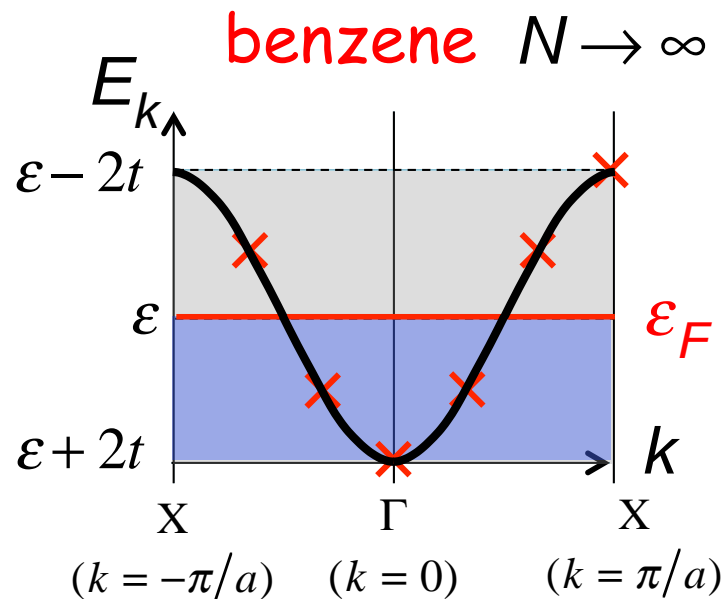
electric and heat  
conduction



# my first band structure: 1D periodic lattice



eigenvalues  $E_k = \varepsilon + 2t \cos(ka)$   $k \in \left(-\frac{\pi}{a}, \frac{\pi}{a}\right]$



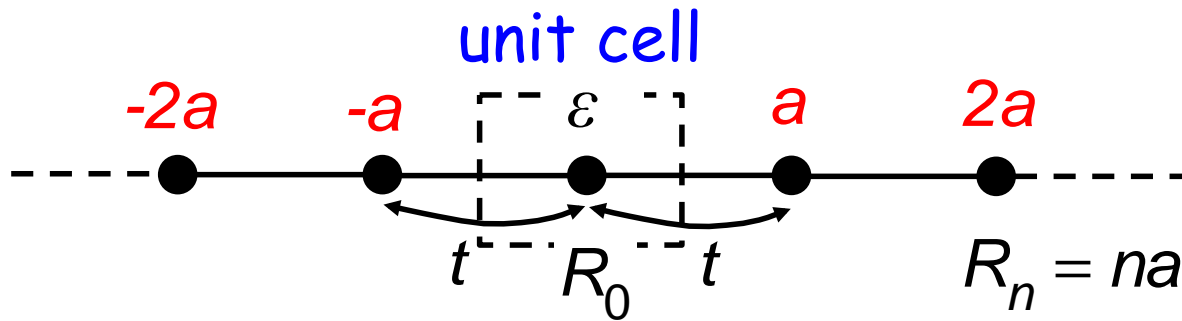
(energy) band

filled states

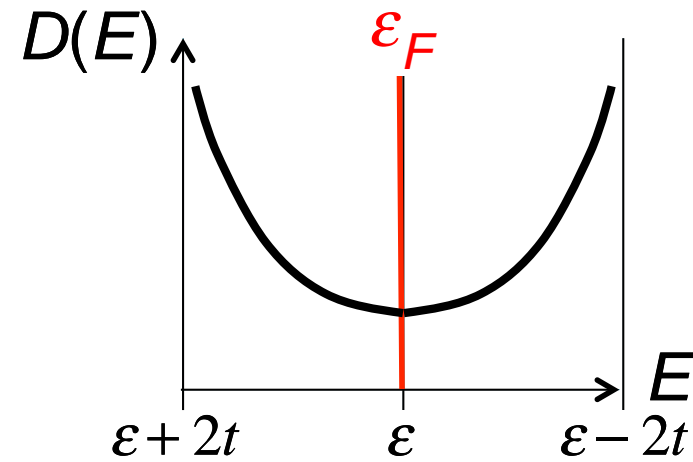
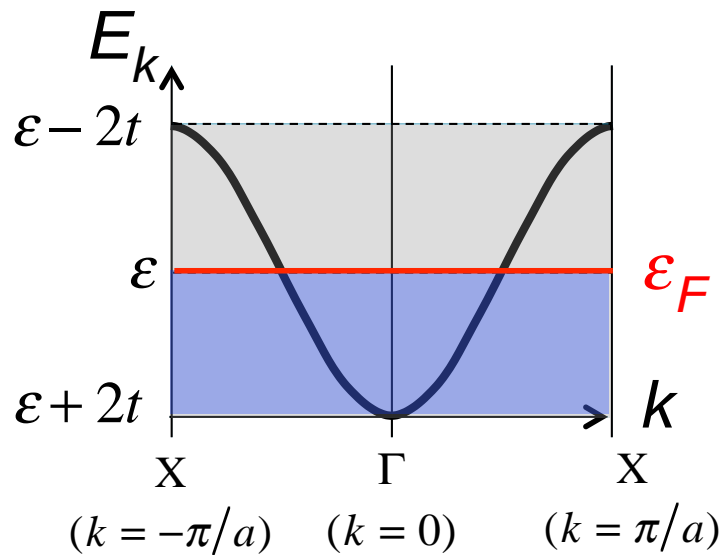
Fermi energy = energy of highest filled state

Fermi energy cuts through a band  $\rightarrow$  a metal !!

# my first band structure: 1D periodic lattice



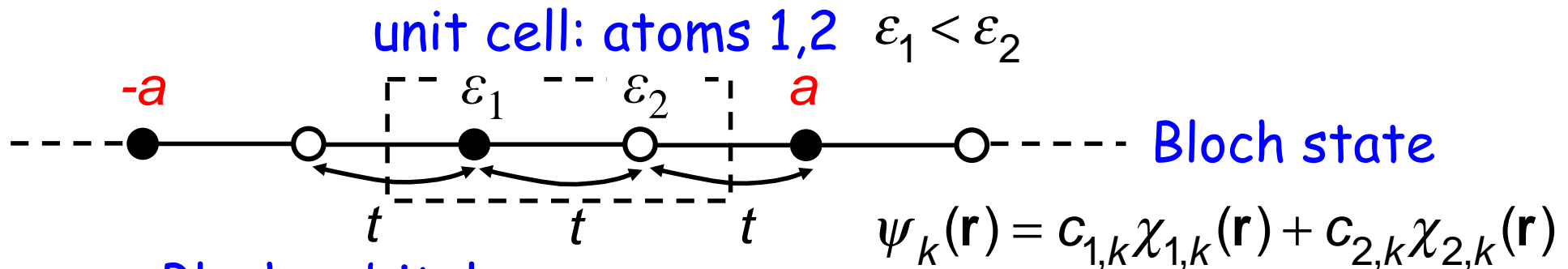
density of states: number of energy levels per unit energy



total energy

$$E_{tot} = 2 \sum_k^{occ} E_k = \int_{-\infty}^{\epsilon_F} E D(E) dE$$

# my second band structure: a semiconductor



Bloch orbitals

$$\chi_{1,k}(\mathbf{r}) = \sum_{n=0}^N e^{ikR_n} \phi_{1,n}(\mathbf{r})$$

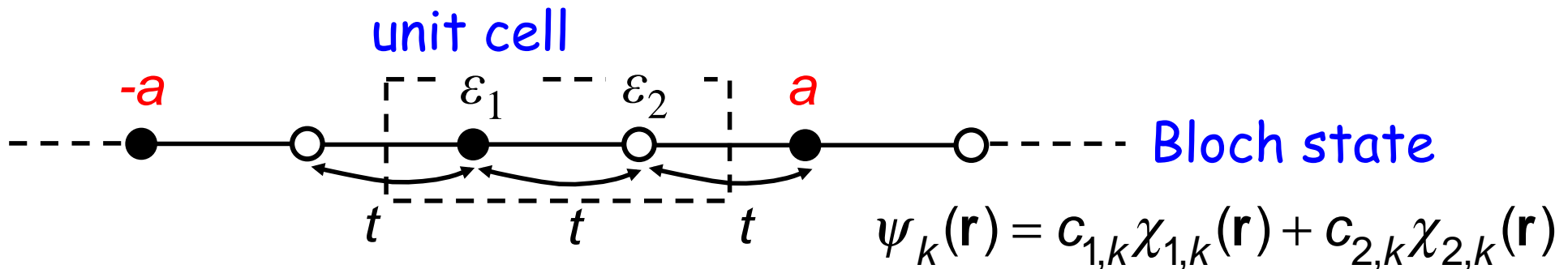
$$\chi_{2,k}(\mathbf{r}) = \sum_{n=0}^N e^{ikR_n} \phi_{2,n}(\mathbf{r})$$

Hamiltonian matrix

$$\underline{H}_k = \begin{bmatrix} \langle \phi_{1,0} | \hat{H} | \chi_{1,k} \rangle & \langle \phi_{1,0} | \hat{H} | \chi_{2,k} \rangle \\ \langle \phi_{2,0} | \hat{H} | \chi_{1,k} \rangle & \langle \phi_{2,0} | \hat{H} | \chi_{2,k} \rangle \end{bmatrix} = \begin{bmatrix} \epsilon_1 & t(1 + e^{-ika}) \\ t(1 + e^{ika}) & \epsilon_2 \end{bmatrix}$$

Bloch vector  $\underline{c}_k = \begin{bmatrix} \langle \phi_{1,0} | \psi_k \rangle \\ \langle \phi_{2,0} | \psi_k \rangle \end{bmatrix} = \begin{bmatrix} c_{1,k} \\ c_{2,k} \end{bmatrix}$

# my second band structure: a semiconductor



Hückel

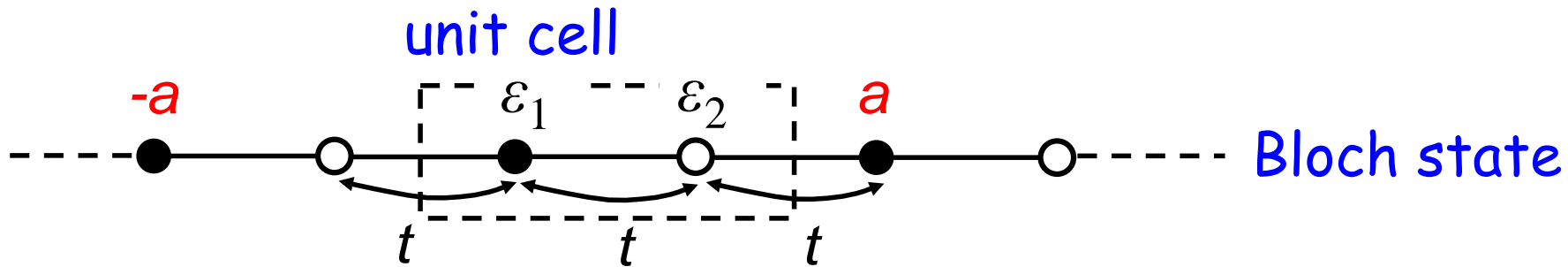
$$\underline{H}_k \underline{c}_k = E_k \underline{c}_k \rightarrow \begin{bmatrix} \varepsilon_1 & t_k \\ t_k^* & \varepsilon_2 \end{bmatrix} \begin{bmatrix} c_{1,k} \\ c_{2,k} \end{bmatrix} = E_k \begin{bmatrix} c_{1,k} \\ c_{2,k} \end{bmatrix} \quad t_k = t(1 + e^{-ika})$$

eigenvalues

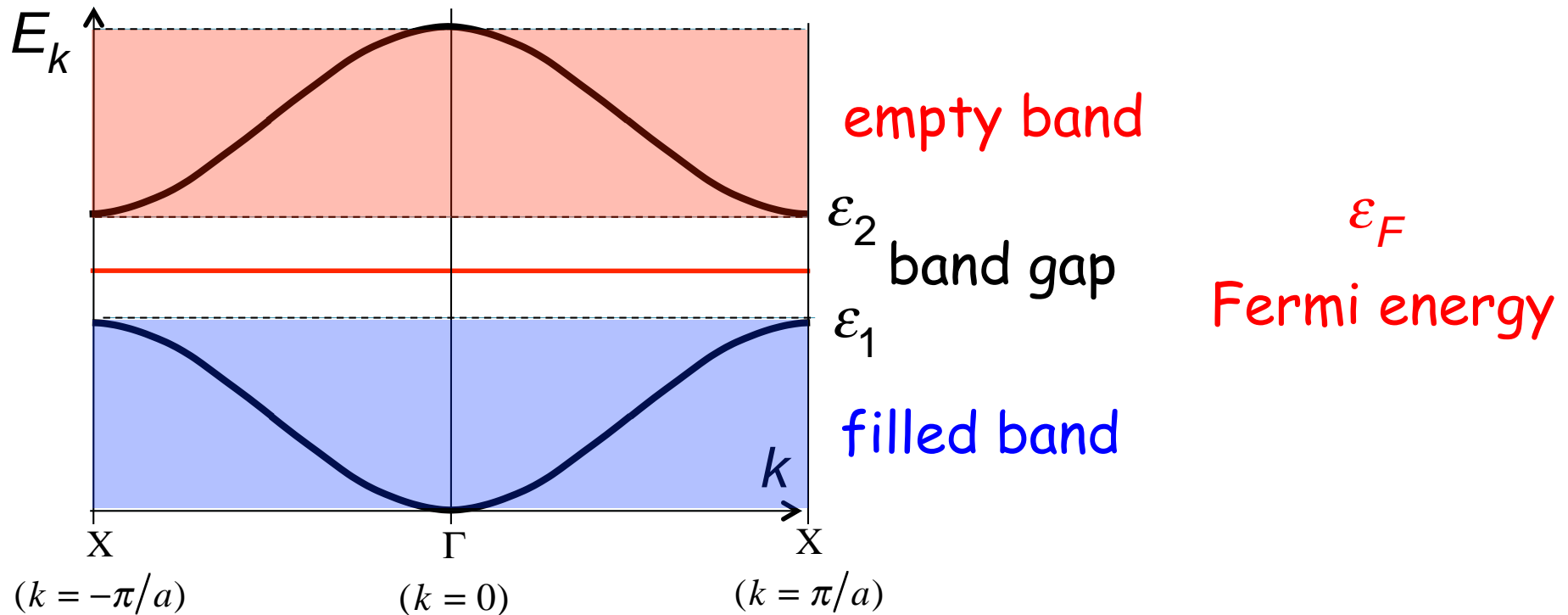
$$E_k^\pm = \frac{1}{2}(\varepsilon_1 + \varepsilon_2) \pm \left[ \frac{1}{4}(\varepsilon_1 - \varepsilon_2)^2 + |t_k|^2 \right]^{\frac{1}{2}}$$

$$|t_k|^2 = 4t^2 \cos^2\left(\frac{ka}{2}\right)$$

# my second band structure: a semiconductor



eigenvalues 
$$E = \frac{1}{2}(\epsilon_1 + \epsilon_2) \pm \left[ \frac{1}{4}(\epsilon_1 - \epsilon_2)^2 + 4t^2 \cos^2\left(\frac{ka}{2}\right) \right]^{\frac{1}{2}}$$



**Fermi energy** in band gap  $\rightarrow$  an insulator/semiconductor !!