

# Angular momentum theory and applications

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*The lecture notes of another course on angular momentum, by Paul E. S. Wormer, are also on the web: <http://www.theochem.ru.nl/~pwormer/teachmat.html>. In those notes you can find some recommendations for further reading.*

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## I. ROTATIONS

Angular momentum theory is the theory of rotations. We discuss the rotation of vectors in  $\mathcal{R}^3$ , wave functions, and linear operators. These objects are elements of linear spaces. In angular momentum theory it is sufficient to consider finite dimensional spaces only.

- Rotations  $\hat{R}$  are linear operators acting on an  $n$ -dimensional linear space  $\mathcal{V}$ , i.e.,

$$\hat{R}(\vec{x} + \vec{y}) = \hat{R}\vec{x} + \hat{R}\vec{y}, \quad \hat{R}\lambda\vec{x} = \lambda\hat{R}\vec{x} \quad \text{for all } \vec{x}, \vec{y} \in \mathcal{V}. \quad (1)$$

We introduce an orthonormal basis  $\{\vec{e}_1, \vec{e}_2, \dots, \vec{e}_n\}$  so that we have

$$(\vec{e}_i, \vec{e}_j) = \delta_{ij}, \quad \vec{x} = \sum_i x_i \vec{e}_i, \quad x_i = (\vec{e}_i, \vec{x}). \quad (2)$$

We define the column vector  $\mathbf{x} = (x_1, x_2, \dots, x_n)^T$ , so that

$$\vec{y} = \hat{R}\vec{x}, \quad y_i = \sum_j R_{ij}x_j, \quad R_{ij} = (\vec{e}_i, \hat{R}\vec{e}_j), \quad \mathbf{y} = R\mathbf{x}. \quad (3)$$

Unless otherwise specified we will work in the standard basis  $\{\mathbf{e}_i\}$ . The multiplication of linear operators is associative, thus for three rotations we have  $(R_1R_2)R_3 = R_1(R_2R_3)$ .

- Rotations form a group:

- The product of two rotations is again a rotation,  $R_1R_2 = R_3$ .
- There is one identity element  $R = I$ .
- For every rotation  $R$  there is an inverse  $R^{-1}$  such that  $RR^{-1} = R^{-1}R = I$ .

- The rotation group is a three (real) parameter continuous group. This means that every element can be labeled by three parameters  $(\omega_1, \omega_2, \omega_3)$ . Furthermore, if

$$R(\omega_1) = R(\omega_2)R(\omega_3) \quad (4)$$

we can express the parameters  $\omega_1$  as analytic functions of  $\omega_2$  and  $\omega_3$ . This means that we are allowed to take derivatives with respect to the parameters, which is the mathematical way of saying that there is such a thing as a “small rotation”. The choice of parameters is not unique for a given group.

- Rotations are unitary operators

$$(R\mathbf{x}, R\mathbf{y}) = (\mathbf{x}, \mathbf{y}), \quad \text{for all } \mathbf{x} \text{ and } \mathbf{y}. \quad (5)$$

The *adjoint* or Hermitian conjugate  $A^\dagger$  of a linear operator  $A$  is defined by

$$(A\mathbf{x}, \mathbf{y}) = (\mathbf{x}, A^\dagger\mathbf{y}), \quad \text{for all } \mathbf{x} \text{ and } \mathbf{y}. \quad (6)$$

For the matrix elements of  $A^\dagger$  we have

$$(A^\dagger)_{ij} = A_{ji}^*. \quad (7)$$

Hence, for a rotation matrix we have

$$(R\mathbf{x}, R\mathbf{y}) = (\mathbf{x}, R^\dagger R\mathbf{y}) = (\mathbf{x}, \mathbf{y}), \quad (8)$$

i.e.,  $R^\dagger R = I$ , and  $R^\dagger = R^{-1}$ . For the determinant we find

$$\det(R^\dagger R) = \det(R)^* \det(R) = \det(I) = 1, \quad |\det(R)| = 1. \quad (9)$$

By definition rotations have a determinant of +1.

- In  $\mathcal{R}^3$  there is exactly one such group with the above properties and it is called  $SO(3)$ , the special (determinant is +1) orthogonal group of  $\mathcal{R}^3$ . In  $C^2$  (two-dimensional complex space) there is also such a group called  $SU(2)$ , the special (again since the determinant is +1) unitary group of  $C^2$ . There is a 2:1 mapping between  $SU(2)$  and  $SO(3)$ . The group  $SU(2)$  is required to treat half-integer spin.

#### A. Small rotations in $SO(3)$

By convention let the parameters of the identity element be zero. Consider changing one of the parameters ( $\phi \in \mathcal{R}$ ). Since  $R(0) = I$  we can always write

$$R(\epsilon) = I + \epsilon N. \quad (10)$$

Since  $R^\dagger R = I$  we have

$$(I + \epsilon N)^\dagger (I + \epsilon N) = I + \epsilon(N^\dagger + N) + \epsilon^2 N^\dagger N = I, \quad (11)$$

thus, for small  $\epsilon$

$$N^\dagger + N = 0, \quad N^\dagger = -N. \quad (12)$$

The matrix  $N$  is said to be *antihermitian*,  $N_{ij}^* = -N_{ji}$ . In  $\mathcal{R}^3$  we may write

$$N = \begin{bmatrix} 0 & -n_3 & n_2 \\ n_3 & 0 & -n_1 \\ -n_2 & n_1 & 0 \end{bmatrix}. \quad (13)$$

The signs of the parameters are of course arbitrary, but with the above choice we have

$$N\mathbf{x} = \begin{bmatrix} n_2x_3 - n_3x_2 \\ n_3x_1 - n_1x_3 \\ n_1x_2 - n_2x_1 \end{bmatrix} = \mathbf{n} \times \mathbf{x}. \quad (14)$$

For small rotations we thus have

$$\mathbf{x}' = R(\mathbf{n}, \epsilon)\mathbf{x} = \mathbf{x} + \epsilon\mathbf{n} \times \mathbf{x}. \quad (15)$$

Clearly, the vector  $\mathbf{n}$  is invariant under this rotation

$$R(\mathbf{n}, \epsilon)\mathbf{n} = \mathbf{n} + \epsilon\mathbf{n} \times \mathbf{n} = \mathbf{n}. \quad (16)$$

For the product of two small rotations around the same vector  $\mathbf{n}$  we have

$$R(\mathbf{n}, \epsilon_1)R(\mathbf{n}, \epsilon_2) = (I + \epsilon_1N)(I + \epsilon_2N) \quad (17)$$

$$= I + (\epsilon_1 + \epsilon_2)N + \epsilon_1\epsilon_2N^2 \quad (18)$$

$$\approx R(\mathbf{n}, \epsilon_1 + \epsilon_2). \quad (19)$$

We now define non-infinitesimal rotations by requiring for *arbitrary*  $\phi_1$  and  $\phi_2$  that

$$R(\mathbf{n}, \phi_1)R(\mathbf{n}, \phi_2) = R(\mathbf{n}, \phi_1 + \phi_2). \quad (20)$$

We may now proceed in two ways to obtain an explicit formula for  $R(\mathbf{n}, \phi)$ . First, we may observe that “many small rotations give a big one”:

$$R(\mathbf{n}, \phi) = R(\mathbf{n}, \phi/k)^k. \quad (21)$$

By taking the limit for  $k \rightarrow \infty$  and using the explicit expression for an infinitesimal rotation we get (see also Appendix A)

$$R(\mathbf{n}, \phi) = \lim_{k \rightarrow \infty} \left(I + \frac{\phi}{k}N\right)^k = \sum_{k=0}^{\infty} \frac{1}{k!} (\phi N)^k = e^{\phi N}. \quad (22)$$

Note that a function of a matrix is defined by its series expansion.

Alternatively we may start from eq. (20) and take the derivative with respect to  $\phi_1$  at  $\phi_1 = 0$  to obtain the differential equation

$$\frac{d}{d\phi_1} R(\mathbf{n}, \phi_1)|_{\phi_1=0} R(\mathbf{n}, \phi_2) = \frac{d}{d\phi_1} R(\mathbf{n}, \phi_1 + \phi_2)|_{\phi_1=0} = \frac{d}{d\phi_2} R(\mathbf{n}, \phi_2), \quad (23)$$

with  $\frac{d}{d\phi_1} R(\mathbf{n}, \phi_1) = N$  this gives

$$\frac{d}{d\phi} R(\mathbf{n}, \phi) = NR(\mathbf{n}, \phi). \quad (24)$$

Solving this equation with the initial condition  $R(\mathbf{n}, 0) = I$  again gives  $R(\mathbf{n}, \phi) = e^{\phi N}$ .

## B. Computing $e^{\phi N}$

This problem is similar to solving the time-dependent Schrödinger equation, but it involves an antihermitian, rather than an Hermitian matrix. Therefore, we define the matrix  $L_{\mathbf{n}} = iN$ , which is easily verified to be Hermitian

$$L^\dagger = (iN)^\dagger = -i(-N) = L. \quad (25)$$

Thus, we have

$$R(\mathbf{n}, \phi) = e^{-i\phi L}. \quad (26)$$

The general procedure for computing functions of Hermitian matrices starts with computing the eigenvalues and eigenvectors

$$L\mathbf{u}_i = \lambda_i\mathbf{u}_i. \quad (27)$$

This may be written in matrix notation

$$LU = U\Lambda, \quad U = [\mathbf{u}_1 \mathbf{u}_2 \dots \mathbf{u}_n], \quad \Lambda_{ij} = \lambda_i \delta_{ij}. \quad (28)$$

For Hermitian matrices the eigenvalues are real and the eigenvectors may be orthonormalized so that  $U$  is unitary and we have

$$L = U\Lambda U^\dagger. \quad (29)$$

If a function  $f$  is defined by its series expansion

$$f(x) = \sum_k f_k x^k \quad (30)$$

we have

$$f(L) = \sum_k f_k L^k = \sum_k f_k (U\Lambda U^\dagger)^k = \sum_k f_k U\Lambda^k U^\dagger = U \left( \sum_k f_k \Lambda^k \right) U^\dagger = U f(\Lambda) U^\dagger. \quad (31)$$

For the diagonal matrix  $\Lambda$  we simply have

$$[f(\Lambda)]_{ij} = \sum_k f_k (\lambda_i \delta_{ij})^k = \sum_k f_k \lambda_i^k \delta_{ij}^k = f(\lambda_i) \delta_{ij}. \quad (32)$$

Thus after computing the eigenvectors  $\mathbf{u}_i$  and eigenvalues  $\lambda_i$  of  $L$  we have

$$R(\mathbf{n}, \phi)\mathbf{x} = e^{-i\phi L}\mathbf{x} = U e^{-i\phi\Lambda} U^\dagger \mathbf{x} = \sum_k e^{-i\phi\lambda_k} \mathbf{u}_k (\mathbf{u}_k, \mathbf{x}). \quad (33)$$

Note that the eigenvalues of  $R(\mathbf{n}, \phi)$  are  $e^{-i\phi\lambda_k}$ . Since the  $\lambda_k$ 's are real, these (three) eigenvalues lie on the unit circle in the complex plane. Clearly, this must hold for any unitary matrix, since for any eigenvector  $\mathbf{u}$  of some unitary matrix  $U$  with eigenvalue  $\lambda$  we have

$$(U\mathbf{u}, U\mathbf{u}) = (\lambda\mathbf{u}, \lambda\mathbf{u}) = \lambda^* \lambda (\mathbf{u}, \mathbf{u}) = (\mathbf{u}, \mathbf{u}), \quad \text{i.e., } |\lambda| = 1. \quad (34)$$

Note that  $R(\mathbf{n}, \phi)\mathbf{n} = \mathbf{n}$ . This does not yet prove that any  $R$  can be generated by an infinitesimal rotation. Since  $R$  is real for every complex eigenvalue  $\lambda$  there must be an eigenvalue  $\lambda^*$ . The three eigenvalues lie on the unit circle in the complex plane and their product is equal to the determinant (+1), therefore  $R$  must have at least one eigenvalue equal to 1. In this way, one can prove that *any* rotation is a rotation around some axis  $\mathbf{n}$ .

## C. Adding the series expansion

As an alternative approach we may start from

$$e^{\phi N} = \sum_{k=0}^{\infty} \frac{1}{k!} (\phi N)^k. \quad (35)$$

From Eq. (27) it follows that

$$N\mathbf{u}_k = -i\lambda_k\mathbf{u}_k \equiv \alpha_k\mathbf{u}_k. \quad (36)$$

For the present discussion we will not actually need the eigenvectors and eigenvalues, we will only use the fact that they exist. We define the matrix  $A(N)$

$$A(N) = (N - \alpha_1 I)(N - \alpha_2 I)(N - \alpha_3 I). \quad (37)$$

It is easily verified that for any eigenvector  $\mathbf{u}_k$  we have

$$A(N)\mathbf{u}_k = 0. \quad (38)$$

Since any vector may be written as a linear combination of the eigenvectors  $\mathbf{u}_k$  we actually know that  $A(N) = 0_{3 \times 3}$ , the zero matrix in  $\mathcal{R}^3$ . Thus, the polynomial  $A(N)$  is referred to as an annihilating polynomial. Expanding  $A(N)$  gives

$$A(N) = N^3 + c_2 N^2 + c_1 N + c_0 I = 0, \quad (39)$$

where the coefficients  $c_k$  can easily be expressed as functions of the eigenvalues  $\alpha_k$ . We now observe that  $N^3$  may be expressed as a linear combination of lower powers of  $N$ :

$$N^3 = -c_2 N^2 - c_1 N - c_0 I \quad (40)$$

From this equation we may directly compute the coefficients  $c_k$ , without knowing the eigenvalues  $\alpha_k$ . By direct multiplication we construct the matrices  $N^k$ ,  $k = 2, 3$ . By putting the matrix elements of these matrices in column vectors of length  $3 \times 3 = 9$  we can turn the matrix equation into a set of 9 equations with 3 unknowns  $c_k$ ,  $k = 0, 1, 2$ . It may be of interest to know that this procedure is quite general: for a completely arbitrary  $n \times n$  matrix  $A$  in  $C^n$  there exist an annihilating polynomial of degree  $n$ . It can always be found by plugging the matrix  $A$  back into the characteristic polynomial  $P(\lambda) \equiv \det(A - \lambda I)$ . In this case we have (see Appendix A)

$$N^3 = -N. \quad (41)$$

so that

$$N^{2k+1} = (-1)^k N \text{ for } k \geq 0 \quad (42)$$

$$N^{2k+2} = (-1)^k N^2 \text{ for } k \geq 1. \quad (43)$$

As a consequence, the infinite sum simplifies to

$$e^{\phi N} = I + \sum_{k=1}^{\infty} \frac{1}{k!} \phi^k N^k = I + \sin \phi N + (1 - \cos \phi) N^2. \quad (44)$$

#### D. Basis transformations of vectors and operators

We will refer to the basis  $\{\mathbf{e}_k\}$  used so far as the *space fixed* basis. We now introduce a new orthonormal basis  $\{\mathbf{b}\}$  which we will refer to as the *body fixed basis*. These names are chosen with a typical application in a quantum mechanical problem in mind. If the body fixed coordinates are indicated with a prime we have

$$\sum_k \mathbf{e}_k x_k = \sum_k \mathbf{b}_k x'_k, \quad \mathbf{x} = B\mathbf{x}'. \quad (45)$$

Let a linear operator  $\hat{A}$  be represented by the matrix  $A$  in the space fixed basis. We now define a transformed or *rotated* operator  $\hat{A}'$ , which is represented by the matrix  $A'$  in space fixed coordinates, by the requirement that it is represented by the matrix  $A$  when expressed in body fixed coordinates:

$$(\mathbf{b}_i, A'\mathbf{b}_j) = A_{ij}, \quad B^\dagger A' B = A. \quad (46)$$

Using the unitarity of  $B$  we get

$$A' = B A B^\dagger. \quad (47)$$

Using this definition we may also transform any function of  $A$  defined by its series expansion

$$f(A)' = Bf(A)B^\dagger = B\left(\sum_k f_k A^k\right)B^\dagger = \sum_k f_k (BA^k B^\dagger) = \sum_k f_k (A')^k = f(A'). \quad (48)$$

As an example we consider the transformation of a rotation operator

$$R' = BR(\mathbf{n}, \phi)B^\dagger = Be^{\phi N}B^\dagger = e^{\phi BNB^\dagger}. \quad (49)$$

We work out the exponent by considering

$$BNB^\dagger \mathbf{x} = B(\mathbf{n} \times B^\dagger \mathbf{x}) \quad (50)$$

For an arbitrary unitary transformation of a cross product we have the rule (see Appendix A)

$$U\mathbf{x} \times U\mathbf{y} = \det(U)U(\mathbf{x} \times \mathbf{y}) \quad (51)$$

so that we have

$$B(\mathbf{n} \times B^\dagger \mathbf{x}) = (B\mathbf{n}) \times (BB^\dagger \mathbf{x}) = (B\mathbf{n}) \times \mathbf{x} \equiv N_{B\mathbf{n}}\mathbf{x} \quad (52)$$

Thus, with the notation  $N_{\mathbf{n}} = N$ ,

$$BN_{\mathbf{n}}B^\dagger = N_{B\mathbf{n}} \quad (53)$$

and for the transformed rotation

$$BR(\mathbf{n}, \phi)B^\dagger = e^{\phi BNB^\dagger} = R(B\mathbf{n}, \phi). \quad (54)$$

### E. Vector operators

Define the three matrices  $N_i \equiv N_{\mathbf{e}_i}$ . The matrix  $N$  can now be expressed as a linear combination of these matrices

$$N = \begin{bmatrix} 0 & -n_3 & n_2 \\ n_3 & 0 & -n_1 \\ -n_2 & n_1 & 0 \end{bmatrix} = n_1 \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{bmatrix} + n_2 \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{bmatrix} + n_3 \begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad (55)$$

$$= n_1 N_1 + n_2 N_2 + n_3 N_3 = \mathbf{n} \cdot \underline{N}, \quad (56)$$

where we introduced the vector operator  $\underline{N}$ . The components of the vector operator transform as

$$BN_j B^\dagger = BN_{\mathbf{e}_j} B^\dagger = N_{B\mathbf{e}_j} = N_{\mathbf{b}_j} = \mathbf{b}_j \cdot \underline{N} = \sum_i N_i B_{ij}. \quad (57)$$

We also define the Hermitian vector operator  $\underline{L} = i\underline{N}$  for which we also have

$$BL_j B^\dagger = \sum_i L_i B_{ij} \quad (58)$$

Since  $B$  is an arbitrary orthonormal matrix we may take  $B = R(\mathbf{n}, \phi) = e^{-i\phi \mathbf{n} \cdot \underline{L}}$  which gives

$$e^{-i\phi \mathbf{n} \cdot \underline{L}} L_j e^{i\phi \mathbf{n} \cdot \underline{L}} = \sum_i L_i R_{ij}(\mathbf{n}, \phi) \quad (59)$$

For two operators  $A$  and  $B$  we have a relation which is sometimes referred to as the Baker-Campbell-Hausdorff form (appendix A)

$$e^A B e^{-A} = \sum_{k=0}^{\infty} \frac{1}{k!} [A, B]_k, \quad (60)$$

where the repeated commutator  $[A, B]_k$  is defined by

$$\begin{aligned} [A, B]_0 &= B \\ [A, B]_1 &= [A, B] = AB - BA \end{aligned} \quad (61)$$

$$[A, B]_k = [A, [A, B]_{k-1}]. \quad (62)$$

The importance of this relation is that the (repeated) commutation relations fully define the exponential form. Hence, from Eq. (59) we find for arbitrary angular momentum operators

$$\hat{R}(\mathbf{n}, \phi) \hat{\mathbf{j}} \hat{R}^\dagger(\mathbf{n}, \phi) = R^T(\mathbf{n}, \phi) \hat{\mathbf{j}}. \quad (63)$$

The commutation relations of two arbitrary antihermitian matrices  $N_{\mathbf{a}}$  and  $N_{\mathbf{b}}$  follow from a property of the cross product (see appendix A)

$$\mathbf{x} \times (\mathbf{y} \times \mathbf{z}) + \mathbf{y} \times (\mathbf{z} \times \mathbf{x}) + \mathbf{z} \times (\mathbf{x} \times \mathbf{y}) = 0. \quad (64)$$

Using the property  $\mathbf{x} \times \mathbf{y} = -\mathbf{y} \times \mathbf{x}$  we find

$$\mathbf{a} \times (\mathbf{b} \times \mathbf{x}) - \mathbf{b} \times (\mathbf{a} \times \mathbf{x}) - (\mathbf{a} \times \mathbf{b}) \times \mathbf{x} = 0. \quad (65)$$

In matrix notation this gives

$$N_{\mathbf{a}} N_{\mathbf{b}} \mathbf{x} - N_{\mathbf{b}} N_{\mathbf{a}} \mathbf{x} - N_{\mathbf{a} \times \mathbf{b}} \mathbf{x} = 0. \quad (66)$$

Since this holds for any  $\mathbf{x}$  we obtain the commutation relation

$$[N_{\mathbf{a}}, N_{\mathbf{b}}] = N_{\mathbf{a} \times \mathbf{b}}. \quad (67)$$

The cross product of two basis vectors in an orthonormal basis may be written using the Levi-civita tensor ( $\epsilon_{123} = 1$ , it changes sign when two indices are permuted),

$$\mathbf{e}_i \times \mathbf{e}_j = \sum_k \epsilon_{ijk} \mathbf{e}_k, \quad (68)$$

so that we can write the commutation relations for the components of the vector operator  $\underline{N}$  as

$$[N_i, N_j] = \sum_k \epsilon_{ijk} N_k. \quad (69)$$

From this equation we immediately find the commutation relations for the Hermitian operators  $L_i$  as

$$[L_i, L_j] = \sum_k i \epsilon_{ijk} L_k. \quad (70)$$

These commutation relations, together with Eq. (60) allow us to write the left hand side of Eq. (59) as a linear combination of the operators  $L_i$ . The right hand side is also a linear combination of the operators  $L_i$ . Thus, we can immediately solve for the matrix elements  $R_{ij}(\mathbf{n}, \phi)$ , whenever the operators  $L_i$  are linearly independent (i.e., when  $\sum_k a_k L_k = 0 \Rightarrow a_k = 0$ ).

One other example of Hermitian operators satisfying the commutation relations Eq. (70) are the generators of  $SU(2)$ ,

$$\sigma_1 = \frac{1}{2} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \quad \sigma_2 = \frac{1}{2} \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}, \quad \sigma_3 = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}. \quad (71)$$

Note that  $e^{-i(\phi+2\pi)\sigma_k} = -e^{-i\phi\sigma_k}$ . This is in agreement with the 2 : 1 mapping between  $SU(2)$  and  $SO(3)$  mentioned earlier.

### F. Euler parameters

So far we have used the  $(\mathbf{n}, \phi)$  parameterization of  $SO(3)$ . Since Euler parameters are used widely we describe them here. A linear operator in  $\mathcal{R}^3$  is defined by its action on the three basis vectors. Let us assume that a rotation operator  $R$  maps the basis vector  $\mathbf{e}_3$  onto  $\mathbf{e}'_3$ . We can then write the matrix  $R$  as

$$R = R(\mathbf{e}'_3, \gamma)R_1, \quad (72)$$

where  $R_1$  may be *any* rotation for which  $\mathbf{e}'_3 = R_1\mathbf{e}_3$ . If the polar angles of  $\mathbf{e}'_3$  are  $(\beta, \alpha)$  we can take

$$R_1 = R(\mathbf{e}_3, \alpha)R(\mathbf{e}_2, \beta). \quad (73)$$

Thus, any rotation  $R$  can be written as

$$R(\alpha, \beta, \gamma) = R(R_1\mathbf{e}_3, \gamma)R_1 = R_1R(\mathbf{e}_3, \gamma)R_1^\dagger R_1, \quad (74)$$

so that and

$$R(\alpha, \beta, \gamma) = R(\mathbf{e}_3, \alpha)R(\mathbf{e}_2, \beta)R(\mathbf{e}_3, \gamma) \quad (75)$$

From this derivation we see that the ranges of the parameters required to span  $SO(3)$  are

$$0 \leq \alpha < 2\pi, \quad 0 \leq \beta < \pi, \quad 0 \leq \gamma < 2\pi. \quad (76)$$

For the inverse we have

$$R(\alpha, \beta, \gamma)^{-1} = R(\mathbf{e}_3, -\gamma)R(\mathbf{e}_2, -\beta)R(\mathbf{e}_3, -\alpha). \quad (77)$$

We may bring  $-\beta$  back into the range  $[0, \pi]$  by inserting  $R(\mathbf{e}_3, \pi)R(\mathbf{e}_3, -\pi)$  at both sides of  $R(\mathbf{e}_2, -\beta)$  twice and by using the relation

$$R(\mathbf{e}_3, -\pi)R(\mathbf{e}_2, -\beta)R(\mathbf{e}_3, \pi) = R(-\mathbf{e}_2, -\beta) = R(\mathbf{e}_2, \beta), \quad (78)$$

which gives

$$R(\alpha, \beta, \gamma)^{-1} = R(\mathbf{e}_3, -\gamma + \pi)R(\mathbf{e}_2, \beta)R(\mathbf{e}_3, -\alpha - \pi). \quad (79)$$

We may also define a volume element for integration

$$d\tau = d\alpha \sin \beta d\beta d\gamma, \quad (80)$$

which has the important property that for any function  $f(\alpha, \beta, \gamma)$  the integral is invariant under rotation of the function  $f$ . The definition of a “rotated function” is given in the next section.

### G. Rotating wave functions

We may extend the definition of rotations in  $\mathcal{R}^3$  to the rotation of one particle wave functions  $(\Psi(\mathbf{x}))$  by Wigner’s convention

$$(\hat{R}\Psi)(\mathbf{x}) \equiv \Psi(R^{-1}\mathbf{x}). \quad (81)$$

Usually,  $\Psi$  will be an element of some Hilbert space. For our purposes it is sufficient to think of  $\Psi$  as an element of some finite dimensional linear space  $\mathcal{V}$ . Of course, we must assume that  $\hat{R}\Psi$  is also an element of  $\mathcal{V}$ , whenever  $\Psi \in \mathcal{V}$ . We use the hat ( $\hat{\cdot}$ ) to distinguish the operators on  $\mathcal{V}$  from the corresponding operators in  $\mathcal{R}^3$ .

The inverse in the definition is important since it gives

$$\hat{R}_1(\hat{R}_2\Psi) = (\hat{R}_1\hat{R}_2)\Psi. \quad (82)$$

This is readily verified:

$$[\hat{R}_1(\hat{R}_2\Psi)](\mathbf{x}) = (\hat{R}_2\Psi)(\hat{R}_1^{-1}\mathbf{x}) = \Psi(\hat{R}_2^{-1}\hat{R}_1^{-1}\mathbf{x}) = \Psi[(\hat{R}_1\hat{R}_2)^{-1}\mathbf{x}] = [(\hat{R}_1\hat{R}_2)\Psi](\mathbf{x}). \quad (83)$$



Note that Wigner's convention is consistent with Dirac notation

$$\Psi(\mathbf{x}) = \langle \mathbf{x} | \Psi \rangle, \quad \langle \mathbf{x} | R\Psi \rangle = \langle R^\dagger \mathbf{x} | \Psi \rangle = \langle R^{-1} \mathbf{x} | \Psi \rangle. \quad (84)$$

For small rotations we have

$$\hat{R}(\mathbf{n}, \epsilon)\Psi(\mathbf{x}) = \Psi(\mathbf{x} - \epsilon \mathbf{n} \times \mathbf{x}). \quad (85)$$

To first order in  $\epsilon$  we have in general

$$f(\mathbf{x} + \epsilon \mathbf{y}) = f(\mathbf{x}) + \sum_k \epsilon y_k \frac{\partial}{\partial x_k} f(\mathbf{x}) \equiv f(\mathbf{x}) + \epsilon \mathbf{y} \cdot \nabla f(\mathbf{x}), \quad (86)$$

so that we may write

$$f(\mathbf{x} - \epsilon \mathbf{n} \times \mathbf{x}) = [1 - \epsilon(\mathbf{n} \times \mathbf{x}) \cdot \nabla] f(\mathbf{x}). \quad (87)$$

Using  $\mathbf{n} \times \mathbf{x} \cdot \nabla = e_{ijk} n_i x_j \nabla_k = \mathbf{n} \cdot \mathbf{x} \times \nabla$  we find

$$\hat{R}(\mathbf{n}, \epsilon) = 1 - \epsilon \mathbf{n} \cdot \mathbf{x} \times \nabla = 1 - i\epsilon \mathbf{n} \cdot \hat{\underline{L}}, \quad (88)$$

where we defined

$$\mathbf{p} \equiv -i\nabla \quad (89)$$

$$\hat{\underline{L}} \equiv \mathbf{x} \times \mathbf{p}. \quad (90)$$

Using integration by parts, and assuming that the surface term vanishes, it is easy to show that the operators  $\nabla_k$  are antihermitian, i.e.  $(\nabla_k f, g) = (f, -\nabla_k g)$ . The multiplicative operators  $x_k$  are Hermitian and it is also straightforward to evaluate the commutator  $[\nabla_i, x_j] = \delta_{ij}$ . It is left as an exercise for the reader to verify that the operators  $\hat{L}_k$  are Hermitian and that they satisfy the commutation relations

$$[\hat{L}_i, \hat{L}_j] = i \sum_k e_{ijk} \hat{L}_k. \quad (91)$$

We may now follow the same procedure as before to find the expression for a non-infinitesimal rotation

$$\hat{R}(\mathbf{n}, \phi) = e^{-i\phi \mathbf{n} \cdot \hat{\underline{L}}}. \quad (92)$$

If we choose a  $n$  dimensional (orthonormal) basis  $\{|i\rangle, i = 1, \dots, n\}$  in the space  $\mathcal{V}$  we may represent the operators  $\hat{R}$  and  $\hat{L}_k$  by  $n$  dimensional matrices. For rotations we will denote these matrices as  $D(\hat{R})$ . By definition

$$D_{ij}(\hat{R}) = \langle i | \hat{R} | j \rangle. \quad (93)$$

We also use the notation  $D(\mathbf{n}, \phi) = D[\hat{R}(\mathbf{n}, \phi)]$ . The unitary matrices  $D(\hat{R})$  are a representation of  $SO(3)$ , since

$$R(\mathbf{n}_1, \phi_1)R(\mathbf{n}_2, \phi_2) = R(\mathbf{n}_3, \phi_3) \quad (94)$$

implies

$$D(\mathbf{n}_1, \phi_1)D(\mathbf{n}_2, \phi_2) = D(\mathbf{n}_3, \phi_3). \quad (95)$$

This representation may be *reducible*. That is, it may be possible to find a unitary transformation of the basis that will simultaneously block diagonalize the matrices  $D(\hat{R})$  for all  $\hat{R}$ .

## II. IRREDUCIBLE REPRESENTATIONS

Suppose we can divide the space  $\mathcal{V}$  into a subspace  $\mathcal{S}$  and its orthogonal complement  $\mathcal{T}$ , i.e.  $\mathcal{S} \oplus \mathcal{T} = \mathcal{V}$ , such that for all  $\Psi \in \mathcal{S}$  and for all  $\hat{R}(\mathbf{n}, \phi)$  we have  $\hat{R}\Psi \in \mathcal{S}$ . In this case  $\mathcal{S}$  is called an invariant subspace. Since the operators  $\hat{R}$  are unitary  $\mathcal{T}$  must also be an invariant subspace. If not, we could find some  $f \in \mathcal{T}$  and  $g \in \mathcal{S}$  such that for some  $\hat{R}$  we would have  $(g, \hat{R}f) \neq 0$ . However, that would mean that  $(\hat{R}^{-1}g, f) \neq 0$ , which is in contradiction with  $\mathcal{S}$  being

an invariant subspace. Thus, if we construct a basis  $\{|i\rangle, i = 1, \dots, n\}$  where the first  $m$  vectors  $\{|i\rangle, i = 1, \dots, m\}$  span the space  $S$  and the vectors  $\{|i\rangle, i = m + 1, \dots, n\}$  span the space  $T$  we find that all matrices  $D(\hat{R})$  have a block structure.

Suppose some Hermitian operator  $\hat{A}$  commutes with all operators  $\hat{R}(\mathbf{n}, \phi)$

$$[\hat{A}, \hat{R}(\mathbf{n}, \phi)] = 0. \quad (96)$$

Let  $S_\lambda$  be the space spanned by all eigenvectors  $f_i$  with eigenvalue  $\lambda$

$$\hat{A}f_i = \lambda f_i. \quad (97)$$

For each  $f \in S_\lambda$  we find that  $g = \hat{R}f$  also has eigenvalue  $\lambda$

$$\hat{A}g = \hat{A}\hat{R}f = \hat{R}\hat{A}f = \lambda g, \quad (98)$$

i.e.,  $g \in S_\lambda$ , which shows that  $S_\lambda$  is an invariant subspace. In order to find an operator  $\hat{A}$  that commutes with each  $\hat{R}$  it is sufficient to find an operator that commutes with  $\hat{L}_1, \hat{L}_2$ , and  $\hat{L}_3$ .

From the commutation relations of  $\hat{L}_k$  we can show that the Hermitian operator

$$\hat{L}^2 = \hat{L}_1^2 + \hat{L}_2^2 + \hat{L}_3^2 \quad (99)$$

commutes with  $\hat{L}_1, \hat{L}_2$ , and  $\hat{L}_3$ . It turns out that the commutation relations also allow us to derive the possible eigenvalues of  $\hat{L}^2$  and the dimensions of the subspaces. Furthermore, within each eigenspace of  $\hat{L}^2$  we can construct a basis of eigenfunctions of the  $\hat{L}_3$  operator and we can even derive the matrix elements of all operators  $\hat{L}_k$  in this basis. We summarize this general result:

A linear (or Hilbert) space  $\mathcal{V}$  which is invariant under the Hermitian operators  $\hat{j}_i, i = 1, 2, 3$  that satisfy the commutation relations

$$[\hat{j}_i, \hat{j}_j] = i \sum_k \epsilon_{ijk} \hat{j}_k \quad (100)$$

decomposes into invariant subspaces  $\mathcal{V}^j$  of  $\hat{j}^2 = \hat{j}_1^2 + \hat{j}_2^2 + \hat{j}_3^2$ . The spaces  $\mathcal{V}^j$  are spanned by orthonormal kets

$$|j, m\rangle, \quad m = -j, \dots, j, \quad (101)$$

with

$$\hat{j}^2|j, m\rangle = j(j+1)|j, m\rangle, \quad (102)$$

$$\hat{j}_3|j, m\rangle = m|j, m\rangle, \quad (103)$$

$$\hat{j}_\pm|j, m\rangle = C_\pm(j, m)|j, m \pm 1\rangle, \quad (104)$$

with

$$\hat{j}_\pm = \hat{j}_1 \pm i\hat{j}_2 \quad (105)$$

$$C_\pm(j, m) = \sqrt{j(j+1) - m(m \pm 1)}. \quad (106)$$

The  $\hat{j}_\pm$  are the so called step up/down operators.

The proof of the existence of basis (101) is well-known. Briefly, the main arguments are:

- As  $[\hat{j}^2, \hat{j}_3] = 0$ , we can find a common eigenvector  $|a, b\rangle$  of  $\hat{j}^2$  and  $\hat{j}_3$  with  $\hat{j}^2|a, b\rangle = a^2|a, b\rangle$  and  $\hat{j}_3|a, b\rangle = b|a, b\rangle$ . Since it is easy to show that  $\hat{j}^2$  has only non-negative real eigenvalues, we write its eigenvalue as a squared number.
- Considering the commutation relations  $[\hat{j}_3, \hat{j}_\pm] = \pm\hat{j}_\pm$  and  $[\hat{j}^2, \hat{j}_\pm] = 0$ , we find, that  $\hat{j}^2\hat{j}_\pm|a, b\rangle = a^2\hat{j}_\pm|a, b\rangle$  and  $\hat{j}_3\hat{j}_\pm|a, b\rangle = (b \pm 1)\hat{j}_\pm|a, b\rangle$ . Hence  $\hat{j}_\pm|a, b\rangle = |a, b \pm 1\rangle$
- If we apply  $\hat{j}_+$  now  $k + 1$  times we obtain, using  $\hat{j}_+^\dagger = \hat{j}_-$ , the ket  $|a, b + k + 1\rangle$  with norm

$$\langle a, b + k | \hat{j}_- \hat{j}_+ | a, b + k \rangle = [a^2 - (b + k)(b + k + 1)] \langle a, b + k | a, b + k \rangle. \quad (107)$$

Thus, if we let  $k$  increase, there comes a point that the norm on the left hand side would have to be negative (or zero), while the norm on the right hand side would still be positive. A negative norm is in contradiction with the fact that the ket belongs to a Hilbert space. Hence there must exist a value of the integer  $k$ , such that the ket  $|a, b + k\rangle \neq 0$ , while  $|a, b + k + 1\rangle = 0$ . Also  $a^2 = (b + k)(b + k + 1)$  for that value of  $k$ .

- Similarly  $l + 1$  times application of  $\hat{j}_-$  gives a zero ket  $|a, b - l - 1\rangle$  with  $|a, b - l\rangle \neq 0$  and  $a^2 = (b - l)(b - l - 1)$ .
- From the fact that  $a^2 = (b + k)(b + k + 1) = (b - l)(b - l - 1)$  follows  $2b = l - k$ , so that  $b$  is integer or half-integer. This quantum number is traditionally designated by  $m$ . The maximum value of  $m$  will be designated by  $j$ . Hence  $a^2 = j(j + 1)$ .
- Requiring that  $|j, m\rangle$  and  $\hat{j}_\pm|j, m\rangle$  are normalized and fixing phases, we obtain the well-known formula (105).

Summarizing, in  $\mathcal{V}$  we have the basis  $\{|j, m\rangle, j = 0, \frac{1}{2}, 1, \dots; m = -j, \dots, j\}$ . Not all values of  $j$  need to occur in a given space  $\mathcal{V}$ . The angular momentum operators are diagonal in  $j$ , and their matrix elements are

$$\langle jm'|\hat{j}^2|jm\rangle = j(j + 1)\delta_{m'm} \quad (108)$$

$$\langle jm'|\hat{j}_1|jm\rangle = \frac{1}{2}[C_+(j, m)\delta_{m', m+1} + C_-(j, m)\delta_{m', m-1}] \quad (109)$$

$$\langle jm'|\hat{j}_2|jm\rangle = -i\frac{1}{2}[C_+(j, m)\delta_{m', m+1} - C_-(j, m)\delta_{m', m-1}] \quad (110)$$

$$\langle jm'|\hat{j}_3|jm\rangle = m\delta_{m'm}. \quad (111)$$

### A. Rotation matrices

The rotation operators in  $\mathcal{V}$  are, by definition

$$\hat{R}(\mathbf{n}, \phi) = e^{-i\phi\mathbf{n}\cdot\hat{\mathbf{j}}}. \quad (112)$$

The matrix representation  $D(\hat{R})$  is block diagonal in  $j$ . The matrix elements of the diagonal blocks  $D^j$  are

$$D_{k,m}^j(\mathbf{n}, \phi) \equiv \langle jk|\hat{R}(\mathbf{n}, \phi)|jm\rangle. \quad (113)$$

Thus, for a rotated vector we have

$$\hat{R}|jm\rangle = \sum_k |jk\rangle\langle jk|\hat{R}|jm\rangle = \sum_k |jk\rangle D_{km}^j(\hat{R}). \quad (114)$$

The matrix elements of the rotation operator themselves can act as functions on which we may define the action of a rotation operator according to Wigner's convention:

$$\hat{R}_1 D_{mk}^j(\hat{R}_2) = D_{mk}^j(\hat{R}_1^{-1}\hat{R}_2) = \sum_{m'} D_{mm'}^j(\hat{R}_1^{-1})D_{m'k}^j(\hat{R}_2). \quad (115)$$

Here we used the general property of representations that  $D(\hat{R}_1\hat{R}_2) = D(\hat{R}_1)D(\hat{R}_2)$ . When we compare this result with Eq. (114) we find that the function  $D_{m,k}^j(\hat{R})$  almost behaves as a ket  $|jm\rangle$ , except that the inverse of  $\hat{R}_1$  appears. This can be remedied by starting with the complex conjugate of a  $D$ -matrix element:

$$\hat{R}_1 D_{mk}^{j,*}(\hat{R}_2) = \sum_{m'} D_{mm'}^{j,*}(\hat{R}_1^{-1})D_{m'k}^{j,*}(\hat{R}_2) = \sum_{m'} D_{m'k}^{j,*}(\hat{R}_2)D_{m'm}^j(\hat{R}_1). \quad (116)$$

where we used another property of representations:  $D(\hat{R}^{-1}) = D(\hat{R})^{-1}$ .

Many properties of  $D$ -matrices are independent of the parameterization that we choose. However, if we do need a parameterization, the Euler parameters are very useful, since they allow us to factorize any  $D$ -matrix in  $D$ -matrices depending on a single parameter:

$$D[\hat{R}(\alpha, \beta, \gamma)] = D[\hat{R}(\mathbf{e}_3, \alpha)]D[\hat{R}(\mathbf{e}_2, \beta)]D[\hat{R}(\mathbf{e}_3, \gamma)] \equiv D(\mathbf{e}_3, \alpha)D(\mathbf{e}_2, \beta)D(\mathbf{e}_3, \gamma). \quad (117)$$

With the procedure for exponentiating an operator described in Section IB it is straightforward to derive

$$D_{km}^j(\mathbf{e}_3, \gamma) = \langle jk|e^{-i\gamma\hat{j}_3}|jm\rangle = e^{-im\gamma}\delta_{km}. \quad (118)$$

To find  $D^j(\mathbf{e}_2, \beta)$  we must exponentiate  $-i\beta\hat{j}_2^{(j)}$ , where  $\hat{j}_2^{(j)}$  is the matrix representation of  $\hat{j}_2$  in  $\mathcal{V}^j$ . Note that this matrix is real. Usually it is denoted by  $d^j(\beta) \equiv D^j(\mathbf{e}_2, \beta)$  so that we have

$$D_{mk}^j(\alpha, \beta, \gamma) = e^{-im\alpha}d_{mk}^j(\beta)e^{-ik\gamma}. \quad (119)$$

For  $j = 0, \frac{1}{2}, 1$  it is not too difficult to carry out the exponentiation. For  $m = j, j-1, \dots, -j$ , i.e., the  $d_{jj}^j$  element in the upper left corner we find

$$d^0(\beta) = 1 \quad (120)$$

$$d^{\frac{1}{2}}(\beta) = \begin{pmatrix} \cos \frac{\beta}{2} & -\sin \frac{\beta}{2} \\ \sin \frac{\beta}{2} & \cos \frac{\beta}{2} \end{pmatrix} \quad (121)$$

$$d^1(\beta) = \begin{pmatrix} \frac{1+\cos \beta}{2} & -\frac{\sin \beta}{\sqrt{2}} & \frac{1-\cos \beta}{2} \\ \frac{\sin \beta}{\sqrt{2}} & \cos \beta & -\frac{\sin \beta}{\sqrt{2}} \\ \frac{1-\cos \beta}{2} & \frac{\sin \beta}{\sqrt{2}} & \frac{1+\cos \beta}{2} \end{pmatrix}. \quad (122)$$

There is also a general formula:

$$d_{km}^j(\beta) = [(j+k)!(j-k)!(j+m)!(j-m)!]^{\frac{1}{2}} \sum_s \frac{(-1)^{k-m+s} (\cos \frac{\beta}{2})^{2j+m-k-2s} (\sin \frac{\beta}{2})^{k-m+2s}}{(j+m-s)!s!(k-m+s)!(j-k-s)!}, \quad (123)$$

where  $s$  takes all integer values that do not lead to a negative factorial.

Several symmetry relations can be derived for  $D$  matrices. From the Euler angles of the inverse of a rotation Eq. (79) we have

$$D(-\gamma, -\beta, -\alpha) = D(-\gamma + \pi, \beta, -\alpha - \pi). \quad (124)$$

For  $\alpha = \gamma = 0$  this gives

$$d_{mk}^j(-\beta) = e^{-im\pi} d_{mk}^j(\beta) e^{ik\pi} = (-1)^{m-k} d_{mk}^j(\beta). \quad (125)$$

Note that  $m-k$  must be integer, hence  $(-1)^{-m+k} = (-1)^{m-k}$ . Since  $d^j$  is real

$$d_{mk}^j(-\beta) = d_{km}^j(\beta) = (-1)^{m-k} d_{mk}^j(\beta). \quad (126)$$

From the explicit formula for the  $d^j$  matrix we see

$$d_{km}^j(\beta) = d_{-m, -k}^j(\beta). \quad (127)$$

From the last two equation we derive

$$D_{km}^{j,*}(\hat{R}) = (-1)^{k-m} D_{-k, -m}^j(\hat{R}). \quad (128)$$

If  $j$  and  $j'$  are both either integer or half integer, the  $D$  matrices satisfy the following orthogonality relations

$$\int_0^{2\pi} d\alpha \int_0^\pi \sin \beta d\beta \int_0^{2\pi} d\gamma D_{mk}^{j,*}(\alpha, \beta, \gamma) D_{m'k'}^{j'}(\alpha, \beta, \gamma) = \frac{8\pi^2}{2j+1} \delta_{mm'} \delta_{kk'} \delta_{jj'}. \quad (129)$$

This follows from a generalization of the great orthogonality theorem for irreducible representations in finite groups. The integrals can also be evaluated without knowledge of group theory. Here, we just point out that the  $\delta_{mm'}$  and  $\delta_{kk'}$  follows directly from integration over the angles  $\alpha$  and  $\gamma$ .

From Eq. (116) we know that  $D_{mk}^{j,*}(\alpha, \beta, \gamma)$  transforms as  $|jm\rangle$ . For  $k=0$  (and thus, necessarily  $j=l$  is integer) we define

$$C_{lm}(\theta, \phi) = D_{m0}^{l,*}(\phi, \theta, 0), \quad (130)$$

which are spherical harmonics in Racah normalization. From Eq. (129) we find

$$\int_0^{2\pi} d\phi \int_0^\pi \sin \theta d\theta C_{lm}^*(\theta, \phi) C_{l'm'}(\theta, \phi) = \frac{4\pi}{2l+1} \delta_{mm'} \delta_{ll'}. \quad (131)$$

Thus, the relation with spherical harmonics in the standard normalization is

$$Y_{lm}(\theta, \phi) = \sqrt{\frac{2l+1}{4\pi}} C_{lm}(\theta, \phi). \quad (132)$$

Also setting  $m$  to zero gives us Legendre polynomials

$$P_l(\cos \theta) = d_{00}^l(\theta) = C_{l0}(\theta, \phi). \quad (133)$$

We also define the regular harmonics,

$$R_{lm}(\mathbf{r}) = r^l C_{lm}(\hat{r}), \quad (134)$$

where  $\mathbf{r}^T = (x, y, z) = r(\cos \phi \sin \theta, \sin \phi \sin \theta, \cos \theta)$ , and  $\hat{r} = (\theta, \phi)$ . From the explicit formulas for  $D^0$  and  $D^1$  we find

$$R_{0,0}(\mathbf{r}) = 1 \quad (135)$$

$$R_{1,1}(\mathbf{r}) = -\frac{1}{\sqrt{2}}(x + iy) \equiv r_{+1} \quad (136)$$

$$R_{1,0}(\mathbf{r}) = z \equiv r_0 \quad (137)$$

$$R_{1,-1}(\mathbf{r}) = \frac{1}{\sqrt{2}}(x - iy) \equiv r_{-1}. \quad (138)$$

The  $r_{+1}$ ,  $r_0$ , and  $r_{-1}$  are the so called *spherical components* of the vector  $\mathbf{r}$ . They are related to the *Cartesian* components via the unitary transformation

$$\tilde{\mathbf{r}} \equiv \begin{bmatrix} r_{+1} \\ r_0 \\ r_{-1} \end{bmatrix} = \sqrt{\frac{1}{2}} \begin{bmatrix} -1 & -i & 0 \\ 0 & 0 & \sqrt{2} \\ 1 & -i & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} \equiv S^T \mathbf{r}. \quad (139)$$

We put in the transpose so that for row vectors we get  $\tilde{\mathbf{r}}^T = \mathbf{r}^T S$ . We now compare the rotation of the Cartesian and the spherical components of a vector. In Cartesian coordinates we define

$$\mathbf{r} \equiv R(\mathbf{n}, \phi) \mathbf{r}', \quad \Rightarrow \mathbf{r}'^T = \mathbf{r}^T R(\mathbf{n}, \phi) \quad (140)$$

and for the spherical components we find

$$\hat{R}(\mathbf{n}, \phi) R_{lm}(\mathbf{r}) = R_{lm}[R(\mathbf{n}, \phi)^{-1} \mathbf{r}] = R_{lm}(\mathbf{r}') = \sum_k R_{km}(\mathbf{r}) D_{km}^l(\mathbf{n}, \phi). \quad (141)$$

For  $l = 1$  this gives  $\tilde{\mathbf{r}}'^T = \tilde{\mathbf{r}}^T D^1(\mathbf{n}, \phi)$ , so that

$$\tilde{\mathbf{r}}'^T = \mathbf{r}'^T S = \mathbf{r}^T R S = \mathbf{r}^T S D^1, \quad (142)$$

which gives

$$R = S D^1 S^\dagger. \quad (143)$$

We recall that the components of an angular momentum operator transform as the Cartesian components of a row vector [see Eq. (59)]. Thus, if we define  $\hat{J}_\mu^{(1)} = \sum_i \hat{J}_i S_{i\mu}$ , with  $\mu = +1, 0, -1$ , i.e.,

$$\hat{J}_{+1}^{(1)} = -\sqrt{\frac{1}{2}}(\hat{J}_1 + i\hat{J}_2) \quad (144)$$

$$\hat{J}_0^{(1)} = \hat{J}_3 \quad (145)$$

$$\hat{J}_{-1}^{(1)} = \sqrt{\frac{1}{2}}(\hat{J}_1 - i\hat{J}_2) \quad (146)$$

we obtain

$$\hat{R}(\mathbf{n}, \phi) \hat{J}_m^{(1)} \hat{R}(\mathbf{n}, \phi)^\dagger = \sum_k \hat{J}_k^{(1)} D_{km}^1(\mathbf{n}, \phi). \quad (147)$$

### III. VECTOR COUPLING

In quantum chemistry one usually writes a two electron wave function as, e.g.,  $\psi_a(\mathbf{r}_1)\psi_b(\mathbf{r}_2) - \psi_a(\mathbf{r}_2)\psi_b(\mathbf{r}_1)$ . Whenever convenient, we will use tensor product notation where, by definition, we keep the order of the arguments fixed, so that we can drop them, and we write  $\psi_a \otimes \psi_b - \psi_b \otimes \psi_a$ . For two linear spaces  $\mathcal{V}_1$  and  $\mathcal{V}_2$  with dimensions  $n_1, n_2$ , the tensor product space  $\mathcal{V}_1 \otimes \mathcal{V}_2$  is a  $n_1 \times n_2$  dimensional linear space which contains the tensor products  $f \otimes g$ , with  $f \in \mathcal{V}_1$  and  $g \in \mathcal{V}_2$ . For a complete definition we must point out when two elements of  $\mathcal{V}_1 \otimes \mathcal{V}_2$  are the same:

$$(\lambda f) \otimes g = f \otimes (\lambda g) = \lambda(f \otimes g) \quad (148)$$

$$(f + g) \otimes h = f \otimes h + g \otimes h \quad (149)$$

$$f \otimes (g + h) = f \otimes g + f \otimes h. \quad (150)$$

For linear operators  $\hat{A}$  and  $\hat{B}$  defined on  $\mathcal{V}_1$  and  $\mathcal{V}_2$ , respectively, we define

$$(\hat{A} \otimes \hat{B})(f \otimes g) = (\hat{A}f) \otimes (\hat{B}g). \quad (151)$$

Thus,  $(\nabla_x + \nabla_y)f(x)g(y)$  written in tensor notation becomes  $(\nabla \otimes I + I \otimes \nabla)f \otimes g$ .

The scalar product in the tensor product space is defined in terms of the scalar products on  $\mathcal{V}_1$  and  $\mathcal{V}_2$  by

$$(f_1 \otimes g_1, f_2 \otimes g_2) = (f_1, f_2)(g_1, g_2). \quad (152)$$

If we have an orthonormal basis  $\{\mathbf{e}_i, i = 1, \dots, n_1\}$  on  $\mathcal{V}_1$  and an orthonormal basis  $\{\mathbf{f}_j, j = 1, \dots, n_2\}$  then  $\{\mathbf{e}_i \otimes \mathbf{f}_j, i = 1, \dots, n_1; j = 1, \dots, n_2\}$  forms an orthonormal basis for  $\mathcal{V}_1 \otimes \mathcal{V}_2$ . Clearly, we have

$$(\mathbf{e}_i \otimes \mathbf{f}_j, \mathbf{e}_{i'} \otimes \mathbf{f}_{j'}) = (\mathbf{e}_i, \mathbf{e}_{i'}) (\mathbf{f}_j, \mathbf{f}_{j'}) = \delta_{ii'} \delta_{jj'}. \quad (153)$$

If the matrix elements  $A_{ij} = (\mathbf{e}_i, \hat{A}\mathbf{e}_j)$  and  $B_{ij} = (\mathbf{f}_i, \hat{B}\mathbf{f}_j)$  are known, we can easily compute the matrix elements of the tensor product  $\hat{A} \otimes \hat{B}$  in the tensor product basis

$$(\mathbf{e}_i \otimes \mathbf{f}_j, [\hat{A} \otimes \hat{B}]\mathbf{e}_{i'} \otimes \mathbf{f}_{j'}) = (\mathbf{e}_i \otimes \mathbf{f}_j, \hat{A}\mathbf{e}_{i'} \otimes \hat{B}\mathbf{f}_{j'}) = (\mathbf{e}_i, \hat{A}\mathbf{e}_{i'}) (\mathbf{f}_j, \hat{B}\mathbf{f}_{j'}) = A_{ii'} B_{jj'}. \quad (154)$$

Let  $\hat{A}f_i = \lambda_i f_i$  and  $\hat{B}g_j = \mu_j g_j$ , then

$$(\hat{A} \otimes \hat{I} + \hat{I} \otimes \hat{B})(f_i \otimes g_j) = \hat{A}f_i \otimes \hat{I}g_j + \hat{I}f_i \otimes \hat{B}g_j = \lambda_i f_i \otimes g_j + \mu_j f_i \otimes g_j = (\lambda_i + \mu_j) f_i \otimes g_j, \quad (155)$$

i.e., the functions  $f_i \otimes g_j$  are eigenfunctions of the operator  $(\hat{A} \otimes \hat{I} + \hat{I} \otimes \hat{B})$  with eigenvalues  $(\lambda_i + \mu_j)$ .

From the Taylor expansion of an exponential one can prove that, for scalars,  $e^{a+b} = e^a e^b$ . Since functions of operators are defined by the series expansion this relation also holds for operators that commute. It is readily verified that the commutator

$$[\hat{A} \otimes \hat{I}, \hat{I} \otimes \hat{B}] = 0 \quad (156)$$

and so we have

$$e^{\hat{A} \otimes \hat{I} + \hat{I} \otimes \hat{B}} = e^{\hat{A}} \otimes e^{\hat{B}}. \quad (157)$$

#### A. An irreducible basis for the tensor product space

Let us assume that  $\mathcal{V}^{j_1}$  and  $\mathcal{V}^{j_2}$  are spaces spanned by the bases  $\{|j_1, m_1\rangle, m_1 = -j_1, \dots, j_1\}$  and  $\{|j_2, m_2\rangle, m_2 = -j_2, \dots, j_2\}$ , respectively. All that we need to construct an irreducible basis for the tensor product space is a set of three Hermitian operators that satisfy the angular momentum commutation relations. It is not hard to verify that the operators

$$\hat{J}_i \equiv \hat{j}_i \otimes \hat{1} + \hat{1} \otimes \hat{j}_i, \quad i = 1, 2, 3 \quad (158)$$

satisfy these conditions. Since we have explicit expressions for the matrix elements of  $\hat{j}_i$  in the bases of  $\mathcal{V}^{j_1}$  and  $\mathcal{V}^{j_2}$  we can easily calculate the matrix elements of the operators  $\hat{J}_i$  in the so called *uncoupled basis*

$$|j_1 m_1 j_2 m_2\rangle \equiv |j_1 m_1\rangle \otimes |j_2 m_2\rangle, \quad m_1 = -j_1, \dots, j_1; \quad m_2 = -j_2, \dots, j_2. \quad (159)$$

We could then proceed by (e.g., numerically) diagonalizing the operator  $\hat{J}^2 = \hat{J}_1^2 + \hat{J}_2^2 + \hat{J}_3^2$  to find the  $(2J + 1)$  dimensional eigenspaces  $S_J$  of  $\hat{J}^2$ . Within each space  $S_J$  it should be possible to find an eigenfunction of  $\hat{J}_3$  with eigenvalue  $M = J$ . With the step down operator  $\hat{J}_- = \hat{J}_1 - i\hat{J}_2$  we could then find the other eigenfunctions of  $\hat{J}_3$ . We denote these simultaneous functions of  $\hat{J}^2$  and  $\hat{J}_3$  by  $|(j_1 j_2)JM\rangle$ ,  $M = -J, \dots, J$ , where the  $(j_1 j_2)$  indicate that it is a vector in the tensor product space.

We may expand these functions in the uncoupled basis

$$|(j_1 j_2)JM\rangle = \sum_{m_1=-j_1}^{j_1} \sum_{m_2=-j_2}^{j_2} |j_1 m_1 j_2 m_2\rangle C_{m_1 m_2}^{JM}(j_1 j_2). \quad (160)$$

With the proper phase conventions the expansion coefficients are real and they are known as Clebsch-Gordan (CG) coefficients. In Dirac notation they can be written as a scalar product  $\langle j_1 m_1 j_2 m_2 | (j_1 j_2)JM \rangle$  which is usually simplified to  $\langle j_1 m_1 j_2 m_2 | JM \rangle$ .

It may not come as a surprise that we do not need a numeric diagonalization to find the eigenvalues of  $\hat{J}^2$  and the CG coefficients. First we point out that the uncoupled basis functions are already eigenfunctions of  $\hat{J}_3$ , with eigenvalues  $M = m_1 + m_2$ . The largest eigenvalue that occurs is  $M = j_1 + j_2$ , corresponding to the eigenvector  $|j_1 j_1 j_2 j_2\rangle$ . Thus, there must be an invariant subspace  $S_J$  with  $J = j_1 + j_2$ . This must be the largest possible value of  $J$ , since otherwise a larger eigenvalue of  $\hat{J}_3$  would occur. For  $M = J - 1$  there is a two-dimensional space of eigenfunctions of  $\hat{J}_3$ , spanned by the functions  $|j_1 j_1 j_2 j_2 - 1\rangle$  and  $|j_1 j_1 - 1 j_2 j_2\rangle$ . We know that the space  $S_J$  contains precisely one eigenfunction  $|(j_1 j_2)JJ - 1\rangle$ , so the other component of the two-dimensional space must necessarily be an element of  $S_{J-1}$ . If we carefully continue this procedure we find that each space  $S_J$  must occur exactly once and that  $J = j_1 + j_2, j_1 + j_2 - 1, \dots, |j_1 - j_2|$ . It is left as an exercise for the reader to verify that if we add up the dimensions of the spaces  $S_J$  we get  $(2j_1 + 1)(2j_2 + 1)$ , i.e., the dimension of  $\mathcal{V}^{j_1} \otimes \mathcal{V}^{j_2}$ . Thus, the *coupled* basis for  $\mathcal{V}^{j_1} \otimes \mathcal{V}^{j_2}$  consists of the functions

$$|(j_1 j_2)JM\rangle, J = |j_1 - j_2|, \dots, j_1 + j_2, M = -J, \dots, J. \quad (161)$$

The CG coefficients are the matrix elements of the orthogonal matrix that transforms between the uncoupled and the coupled basis, thus we have the following orthogonality relations

$$\sum_{m_1, m_2} \langle JM | j_1 m_1 j_2 m_2 \rangle \langle j_1 m_1 j_2 m_2 | J' M' \rangle = \delta_{JJ'} \delta_{MM'} \quad (162)$$

$$\sum_{J, M} \langle j_1 m_1 j_2 m_2 | JM \rangle \langle JM | j_1 m'_1 j_2 m'_2 \rangle = \delta_{m_1 m'_1} \delta_{m_2 m'_2} \quad (163)$$

and we may invert Eq. (160)

$$|j_1 m_1 j_2 m_2\rangle = \sum_{J=|j_1 - j_2|}^{j_1 + j_2} \sum_{M=-J}^J |(j_1 j_2)JM\rangle \langle JM | j_1 m_1 j_2 m_2 \rangle. \quad (164)$$

Recursion relations for the CG coefficients can be obtained by applying the step up/down operators to Eq. (160). On the left hand side we get

$$\hat{J}_\pm |(j_1 j_2)JM\rangle = |(j_1 j_2)JM \pm 1\rangle C_{JM}^\pm \quad (165)$$

$$= \sum_{m_1 m_2} |j_1 m_1\rangle |j_2 m_2\rangle \langle j_1 m_1 j_2 m_2 | JM \pm 1\rangle C_{JM}^\pm \quad (166)$$

and on the right hand side

$$\sum_{m_1 m_2} \hat{J}_\pm |j_1 m_1\rangle |j_2 m_2\rangle \langle j_1 m_1 j_2 m_2 | JM \rangle \quad (167)$$

$$= \sum_{m_1 m_2} [ |j_1 m_1 \pm 1\rangle |j_2 m_2\rangle C_{j_1 m_1}^\pm + |j_1 m_1\rangle |j_2 m_2 \pm 1\rangle C_{j_2 m_2}^\pm ] \langle j_1 m_1 j_2 m_2 | JM \rangle \quad (168)$$

$$= \sum_{m_1 m_2} |j_1 m_1\rangle |j_2 m_2\rangle [ C_{j_1 m_1 \mp 1}^\pm \langle j_1 m_1 \mp 1 j_2 m_2 | JM \rangle + C_{j_2 m_2 \mp 1}^\pm \langle j_1 m_1 j_2 m_2 \mp 1 | JM \rangle ]. \quad (169)$$

In the last step we used

$$\sum_{m_1} |j_1 m_1 \pm 1\rangle C_{j_1, m_1}^\pm = \sum_{m_1} |j_1 m_1\rangle C_{j_1, m_1 \mp 1}^\pm, \quad (170)$$

which is correct, assuming the range of summation is always chosen to include all allowed  $m_1$  values. Combining Eqs. 166 and 169 we obtain the recursion relations

$$C_{JM}^\pm \langle j_1 m_1 j_2 m_2 | JM \pm 1 \rangle = C_{j_1 m_1 \mp 1}^\pm \langle j_1 m_1 \mp 1 j_2 m_2 | JM \rangle + C_{j_2 m_2 \mp 1}^\pm \langle j_1 m_1 j_2 m_2 \mp 1 | JM \rangle. \quad (171)$$

For the upper sign with  $M = J$  we get

$$0 = C_{j_1 m_1 - 1}^+ \langle j_1 m_1 - 1 j_2 m_2 | JJ \rangle + C_{j_2 m_2 - 1}^+ \langle j_1 m_1 j_2 m_2 - 1 | JJ \rangle. \quad (172)$$

By convention we take  $\langle j_1, j_1, j_2, J - j_1 | J, J \rangle$  real and positive. After normalization according to Eq. (162) this fixes  $\langle j_1 m_1 j_2 m_2 | JJ \rangle$ . The other values  $|JM\rangle$  elements are obtained by using the lower sign. For  $J = M = 0$  this procedure gives

$$\langle j_1 m_1 j_2 m_2 | 00 \rangle = \frac{(-1)^{j_1 - m_1}}{\sqrt{2j_1 + 1}} \delta_{j_1 j_2} \delta_{m_1, -m_2}. \quad (173)$$

It is straightforward to construct an irreducible basis in a higher dimensional tensor product space. E.g., in  $\mathcal{V}^{j_1} \otimes \mathcal{V}^{j_2} \otimes \mathcal{V}^{j_3}$

$$|[(j_1 j_2) j_3] JM\rangle \equiv \sum_{m_1 m_2 m_3 m_4} |j_1 m_1\rangle |j_2 m_2\rangle |j_3 m_3\rangle \langle j_1 m_1 j_2 m_2 | j_4 m_4 \rangle \langle j_4 m_4 j_3 m_3 | JM \rangle. \quad (174)$$

transforms like  $|JM\rangle$ . For  $|JM\rangle = |00\rangle$  and substituting Eq. (173) we construct a so called *invariant* function

$$\sum_{m_1 m_2 m_3} |j_1 m_1\rangle |j_2 m_2\rangle |j_3 m_3\rangle \langle j_1 m_1 j_2 m_2 | j_3 - m_3 \rangle \frac{(-1)^{j_3 + m_3}}{\sqrt{2j_1 + 1}}. \quad (175)$$

This motivates the definition of the  $3jm$ -symbol

$$\begin{pmatrix} j_1 & j_2 & j_3 \\ m_1 & m_2 & m_3 \end{pmatrix} \equiv \frac{(-1)^{j_1 - j_2 - m_3}}{\sqrt{2j_3 + 1}} \langle j_1 m_1 j_2 m_2 | j_3 - m_3 \rangle. \quad (176)$$

The phase convention makes the symmetry properties of the  $3j$  symbol particularly simple: permuting two columns *or* changing all the  $m_i$  to  $-m_i$  gives an extra factor  $(-1)^{j_1 + j_2 + j_3}$ . Thus, cyclic permutations of the columns leave the  $3j$  unchanged.

$$\begin{pmatrix} j_1 & j_2 & j_3 \\ m_1 & m_2 & m_3 \end{pmatrix} = (-1)^{j_1 + j_2 + j_3} \begin{pmatrix} j_1 & j_2 & j_3 \\ -m_1 & -m_2 & -m_3 \end{pmatrix} = (-1)^{j_1 + j_2 + j_3} \begin{pmatrix} j_2 & j_1 & j_3 \\ m_2 & m_1 & m_3 \end{pmatrix} \quad (177)$$

etc. From the inverse relation

$$\langle j_1 m_1 j_2 m_2 | j_3 m_3 \rangle = (-1)^{j_1 - j_2 + m_3} \sqrt{2j_3 + 1} \begin{pmatrix} j_1 & j_2 & j_3 \\ m_1 & m_2 & m_3 \end{pmatrix} \quad (178)$$

one can find how awkward the corresponding symmetry relations for CG coefficients are. Of course, a rigorous derivation of these symmetry relations must start from the recursion relations of the CG coefficients.

### B. The rotation operator in the tensor product space

The rotation operator in  $\mathcal{V}^{j_1} \otimes \mathcal{V}^{j_2}$  is given by

$$\hat{R}(\mathbf{n}, \phi) = e^{-i\phi \mathbf{n} \cdot \hat{\mathbf{J}}} \quad (179)$$

and when operating on the coupled basis functions it gives

$$\hat{R}|(j_1 j_2) JM\rangle = \sum_K |(j_1 j_2) JK\rangle D_{KM}^J(\hat{R}) \quad (180)$$

$$= \sum_{k_1 k_2} |j_1 k_1\rangle |j_2 k_2\rangle \sum_K \langle j_1 k_1 j_2 k_2 | JK \rangle D_{KM}^J(\hat{R}). \quad (181)$$



Using the rules for manipulating tensor products of operators derived above we find

$$e^{-i\phi\mathbf{n}\cdot\hat{\mathbf{J}}} = e^{-i\phi\mathbf{n}\cdot\hat{\mathbf{J}}_1} \otimes e^{-i\phi\mathbf{n}\cdot\hat{\mathbf{J}}_2}, \quad (182)$$

which we may write symbolically as  $\hat{R} = \hat{R} \otimes \hat{R}$ . Thus, the uncoupled basis functions rotate as

$$(\hat{R} \otimes \hat{R})|j_1 m_1\rangle|j_2 m_2\rangle = \sum_{k_1 k_2} |j_1 k_1\rangle|j_2 k_2\rangle D_{k_1 m_1}^{j_1}(\hat{R}) D_{k_2 m_2}^{j_2}(\hat{R}). \quad (183)$$

Together with Eq. (164) this gives

$$D_{k_1 m_1}^{j_1}(\hat{R}) D_{k_2 m_2}^{j_2}(\hat{R}) = \sum_{JKM} \langle j_1 k_1 j_2 k_2 | JK \rangle \langle j_1 m_1 j_2 m_2 | JM \rangle D_{KM}^J(\hat{R}). \quad (184)$$

This is a remarkable useful equation. E.g., it allows us to verify the orthogonality relations Eq. (129) and to find

$$\int_0^{2\pi} d\alpha \int_0^\pi \sin\beta d\beta \int_0^{2\pi} d\gamma D_{MK}^{J,*}(\alpha, \beta, \gamma) D_{m_1 k_1}^{j_1}(\alpha, \beta, \gamma) D_{m_2 k_2}^{j_2}(\alpha, \beta, \gamma) = \frac{8\pi^2}{2J+1} \langle j_1 m_1 j_2 m_2 | JM \rangle \langle j_1 k_1 j_2 k_2 | JK \rangle. \quad (185)$$

If we take the complex conjugate, set  $K = k_1 = k_2 = 0$ , and eliminate the integral over the third Euler angle, we find

$$\int_0^{2\pi} d\phi \int_0^\pi \sin\theta d\theta C_{LM}^*(\phi, \theta) C_{l_1 m_1}(\theta, \phi) C_{l_2 m_2}(\theta, \phi) = \frac{4\pi}{2L+1} \langle l_1 m_1 l_2 m_2 | LM \rangle \langle l_1 0 l_2 0 | L0 \rangle. \quad (186)$$

We also may derive the recursion relation for Legendre polynomials from the explicit expressions for  $d^j$  with  $z \equiv \cos\beta$

$$P_0(z) = 1 \quad (187)$$

$$P_1(z) = z. \quad (188)$$

From Eq. (184) with  $m = k = 0$  and  $j_1 = 1$  and  $j_2 = l$  we derive a recursion relation for the Legendre polynomials

$$P_1(z)P_l(z) = \sum_L \langle 10l0 | L0 \rangle^2 P_L(z) \quad (189)$$

$$= \langle 10l0 | l+1, 0 \rangle^2 P_{l+1}(z) + \langle 10l0 | l-1, 0 \rangle^2 P_{l-1}(z) \quad (190)$$

$$= \frac{l+1}{2l+1} P_{l+1}(z) + \frac{l}{2l+1} P_{l-1}(z), \quad (191)$$

i.e.,

$$P_{l+1}(z) = \frac{z(2l+1)P_l(z) - lP_{l-1}(z)}{l+1} \quad (192)$$

$$P_2(z) = \frac{3z^2 - 1}{2}. \quad (193)$$

Suppose the angular part of a wave function is given by

$$\Psi(\theta, \phi) = \sum_{lm} a_{lm} C_{lm}(\theta, \phi) \quad (194)$$

and we are interested in the spatial distribution

$$P(\theta, \phi) = |\Psi(\theta, \phi)|^2 = \sum_{l_1 m_1 l_2 m_2} a_{l_1 m_1}^* a_{l_2 m_2} C_{l_1 m_1}^*(\theta, \phi) C_{l_2 m_2}(\theta, \phi). \quad (195)$$

First, from Eqs. (128) and (130) we find

$$C_{lm}^*(\theta, \phi) = (-1)^m C_{l, -m}(\theta, \phi). \quad (196)$$

From Eq. (184) we have

$$(-1)^{m_1} C_{l_1 - m_1}(\hat{r}) C_{l_2 m_2}(\theta, \phi) = (-1)^m \sum_{LM} \langle l_1, -m_1, l_2, m_2 | LM \rangle \langle l_1 0 l_2 0 | L0 \rangle C_{LM}(\theta, \phi) \quad (197)$$

thus,

$$P(\theta, \phi) = \sum_{l_1 l_2 m_1 m_2 LM} a_{l_1 m_1}^* a_{l_2 m_2} (-1)^m \langle l_1, -m_1, l_2, m_2 | L0 \rangle \langle l_1 0 l_2 0 | LM \rangle C_{LM}(\theta, \phi). \quad (198)$$

For a pure state,  $\Psi(\theta, \phi) = C_{lm}(\theta, \phi)$

$$P(\theta, \phi) = \sum_{LM} |a_{lm}|^2 (-1)^m \langle l, -m, l, m | LM \rangle \langle l 0 l 0 | L0 \rangle C_{LM}(\theta, \phi) \quad (199)$$

$$= \sum_L |a_{lm}|^2 (-1)^m \langle l, -m, l, m | L0 \rangle \langle l 0 l 0 | L0 \rangle P_L(\cos \theta). \quad (200)$$

It follows from the triangular conditions for  $\langle l 0 l 0 | L0 \rangle$  that  $L$  runs from 0 to  $2l$ . Furthermore, a CG coefficient is zero if all the  $m$ 's are zero and the sum of the  $l$ 's is odd (prove this using Eq. (176) and the symmetry properties of  $3jm$  symbols) so  $L$  must be even.

### C. Application to photo-absorption and photo-dissociation

The transition amplitude in a one-photon electric dipole transition between two states is proportional to the matrix elements of the operator  $\hat{T} = \mathbf{e} \cdot \mu$ , where  $\mathbf{e}$  is the polarization vector of the photon and  $\mu$  is the dipole operator. A scalar product can be written in spherical coordinates

$$\mathbf{e} \cdot \mu = \sum_m (-1)^m e_{-m}^{(1)} \mu_m^{(1)} = -\sqrt{3} \sum_m e_{-m}^{(1)} \mu_m^{(1)} \cdot \langle 1 - m 1 m | 00 \rangle \quad (201)$$

The spherical components of the dipole operator for a one-particle system are

$$\mu_m^{(1)}(\mathbf{r}) = qR_{1m}(\mathbf{r}) = qrC_{1m}(\hat{r}). \quad (202)$$

The matrix elements of  $\hat{T}$  in the basis  $\Psi_{nlm}(\mathbf{r}) = f_{nl}(r)C_{lm}(\hat{r})$  are

$$\langle \Psi_{n_1 l_1 m_1} | \hat{T} | \Psi_{n_2 l_2 m_2} \rangle = \sum_m (-1)^m e_{-m}^{(1)} \int d\hat{r} C_{l_1 m_1}^*(\hat{r}) C_{1m}(\hat{r}) C_{l_2 m_2}(\hat{r}) \int r^2 dr f_{n_1 l_1}^*(r) q r f_{n_2 l_2}(r) \quad (203)$$

$$= \sum_m (-1)^m e_{-m} A_{n_1 l_1 n_2 l_2} \langle l_1 m_1 1 m | l_2 m_2 \rangle \langle l_1 0 1 0 | l_2 0 \rangle. \quad (204)$$

For simplicity we assume that one component of  $\mathbf{e}$  is 1, and the others 0. Since we want to focus on the angular part of the problem, we drop the  $n$  quantum numbers and also we absorb the factor  $\langle l_1 0 1 0 | l_2 0 \rangle$  into  $A_{l_1 l_2}$ , so that we get

$$\langle l_1 m_1 | \hat{T} | l_2 m_2 \rangle = A_{l_1 l_2} \langle l_1 m_1 1 m | l_2 m_2 \rangle. \quad (205)$$

Thus, we can write the (angular part of) the operator  $\hat{T}$  as

$$\hat{T} = \sum_{l_1 m_1 l_2 m_2} A_{l_1 l_2} |l_1 m_1\rangle \langle l_2 m_2| \langle l_1 m_1 1 m | l_2 m_2 \rangle. \quad (206)$$

### D. Density matrix formalism

A quantum mechanical system can be completely described by its density operator

$$\hat{\rho} = \sum_i |\Psi_i\rangle p_i \langle \Psi_i|, \quad (207)$$

where the  $p_i$  are the probabilities of the system being in the state  $|\Psi_i\rangle$ . To every observable some Hermitian operator  $\hat{A}$  corresponds and the mean result of a measurement of this quantity is given by

$$\langle \hat{A} \rangle \equiv \text{Tr}(\hat{\rho} \hat{A}) = \sum_{ji} \langle j | \Psi_i \rangle p_i \langle \Psi_i | \hat{A} | j \rangle = \sum_{ji} p_i \langle \Psi_i | \hat{A} | j \rangle \langle j | \Psi_i \rangle = \sum_i p_i \langle \Psi_i | \hat{A} | \Psi_i \rangle. \quad (208)$$

For example, measuring an angular probability distribution, as in the example above, corresponds to taking  $\hat{A} = |\hat{r}\rangle\langle\hat{r}|$ , which gives

$$A(r) = \sum p_i \langle \Psi_i | \hat{r} \rangle \langle \hat{r} | \Psi_i \rangle = \sum_i p_i |\Psi_i(\hat{r})|^2. \quad (209)$$

A photoabsorption experiment is described by  $\hat{A} = \sum_f \hat{T} |\Psi_f\rangle\langle\Psi_f| \hat{T}$  which gives

$$\hat{A} = \sum p_i \langle \Psi_i | \sum_f \hat{T} |\Psi_f\rangle\langle\Psi_f| \hat{T} | \Psi_i \rangle = \sum_{i,f} p_i |\langle \Psi_f | \hat{T} | \Psi_i \rangle|^2. \quad (210)$$

To determine an angular distribution after photo-excitation we take

$$\hat{A}(\hat{r}) = \hat{T} \hat{P} |\hat{r}\rangle\langle\hat{r}| \hat{P} \hat{T} \quad \text{with} \quad \hat{P} = \sum_f |\Psi_f\rangle\langle\Psi_f|, \quad (211)$$

which gives

$$A(\hat{r}) = \sum_{i,f} p_i |\Psi_f(\hat{r})|^2 |\langle \Psi_f | \hat{T} | \Psi_i \rangle|^2. \quad (212)$$

Thus, in any case we need to evaluate  $\text{Tr}(\hat{\rho} \hat{A}) = \text{Tr}(\hat{\rho}^\dagger \hat{A})$ , since  $\hat{\rho}$  is Hermitian.

### E. The space of linear operators

Let  $|i\rangle$  be an orthonormal basis in  $\mathcal{V}$ , i.e.,  $\langle i | j \rangle = \delta_{ij}$ . In Dirac notation, any linear operator can be written as

$$\hat{A} = \sum_{ij} A_{ij} |i\rangle\langle j|. \quad (213)$$

Indeed, for the matrix elements we get

$$\langle k | \hat{A} | l \rangle = \langle k | \sum_{ij} A_{ij} |i\rangle\langle j| | l \rangle = A_{kl}. \quad (214)$$

Thus we may think of

$$\hat{T}_{ij} \equiv |i\rangle\langle j| \quad (215)$$

as a ‘‘basis function’’ for the space of linear operators, and of the matrix element  $A_{ij}$  as an expansion coefficient. We define the ‘‘scalar product’’ between operators  $\hat{A}$  and  $\hat{B}$  as the trace of  $\hat{A}^\dagger \hat{B}$ , since that gives

$$\text{Tr}(\hat{A}^\dagger \hat{B}) = \sum_{ij} \langle j | \hat{A}^\dagger | i \rangle \langle i | \hat{B} | j \rangle = \sum_{ij} A_{ij}^* B_{ij}, \quad (216)$$

completely analogous to  $(\mathbf{x}, \mathbf{y}) = \sum_i x_i^* y_i$ . We also have

$$A_{ij} = \text{Tr}(\hat{T}_{ij}^\dagger \hat{A}) \quad (217)$$

and

$$\text{Tr}(\hat{T}_{ij}^\dagger \hat{T}_{i'j'}) = \delta_{ii'} \delta_{jj'}. \quad (218)$$

Furthermore

$$\text{Tr}(\hat{A}^\dagger \hat{B}) = \text{Tr}(\hat{B}^\dagger \hat{A})^*. \quad (219)$$

and

$$\hat{T}_{ij}^\dagger = |j\rangle\langle i| = \hat{T}_{ji}. \quad (220)$$

A basis transformation  $|i\rangle' = \hat{R} |i\rangle$  gives

$$\hat{T}'_{ij} \equiv |i\rangle' \langle j|' = \hat{R} \hat{T}_{ij} \hat{R}^\dagger. \quad (221)$$

One can easily verify that if  $\hat{R}$  is a unitary transformation on  $\mathcal{V}$ , then  $\hat{T}'_{ij}$  is again an orthonormal basis, i.e.,  $\text{Tr}(\hat{T}'_{ij} \hat{T}'_{i'j'}) = \delta_{ij} \delta_{i'j'}$ . Note that one may also think of  $\hat{T}'_{ij}$  as an element of  $\mathcal{V} \otimes \mathcal{V}^*$ .

#### IV. ROTATING IN THE DUAL SPACE

The *dual* space  $\mathcal{V}^*$  associated with the vector space  $\mathcal{V}$  is the linear space of linear functionals on  $\mathcal{V}$ . A linear functional is a linear mapping of  $\mathcal{V}$  onto  $\mathcal{R}$  or  $\mathcal{C}$ . Every linear functional can be defined as “taking the scalar product with some vector”. The dimension of  $\mathcal{V}^*$  is the same as the dimension of  $\mathcal{V}$  and the dual of  $\mathcal{V}^*$  is  $\mathcal{V}$ . In other words, the dual space is simply the space where the Dirac *bra*'s live. If we have a basis  $\{|jm\rangle, m = -j, \dots, j\}$  in  $\mathcal{V}$ , then  $\{\langle jm|, m = -j, \dots, j\}$  is a basis in  $\mathcal{V}^*$ , which we call the *dual* basis. Hermitian conjugation takes us back and forth between  $\mathcal{V}$  and  $\mathcal{V}^*$ ,  $|jm\rangle^\dagger = \langle jm|$ ,  $\langle j_1 m_1 | j_2 m_2 \rangle \equiv \delta_{j_1 j_2} \delta_{m_1 m_2}$ , hence  $(|jm\rangle c)^\dagger = \langle jm| c^*$ .

Rotating the basis functions in  $\mathcal{V}$  gives

$$|jm\rangle' \equiv \hat{R}|jm\rangle = \sum_k |jk\rangle D_{km}^j(\hat{R}), \quad (222)$$

where we used Eq. (128). By taking the Hermitian conjugate we find for the transformation of the dual basis

$$\langle jm| \equiv \langle jm| \hat{R}^\dagger = \sum_k \langle jk| D_{km}^{j,*}(\hat{R}) = \sum_k \langle jk| (-1)^{k-m} D_{-k,-m}^j(\hat{R}) \quad (223)$$

We notice two things. First, if we rotate the basis in  $\mathcal{V}$  with  $\hat{R}$  then the dual basis rotates with  $\hat{R}^\dagger$ . Second, the complex conjugate of the  $D$  matrix appears. We now try to find an alternative basis in the dual space that we can rotate with the  $D$ -matrix, instead of its complex conjugate. First we multiply both sides of the equation with  $(-1)^{j+m}$

$$(-1)^{j+m} \langle jm| \hat{R}^\dagger = \sum_k (-1)^{j+k} \langle jk| D_{-k,-m}^j(\hat{R}) \quad (224)$$

and then we change the signs of  $m$  and  $k$

$$(-1)^{j,-m} \langle j, -m| \hat{R}^\dagger = \sum_k (-1)^{j-k} \langle j-k| D_{km}^j(\hat{R}). \quad (225)$$

The reason that we multiply with  $(-1)^{j,-m}$ , rather than simply  $(-1)^m$  is that the former is also well defined if  $j$  is half integer (for  $(-1)^{\frac{1}{2}}$  one could take  $i$  as well as  $-i$ ). In any case, we can now define an alternative basis for the dual space

$$\langle j\bar{m}| \equiv (-1)^{j-m} \langle j, -m| \quad (226)$$

that rotates as

$$\langle j\bar{m}| \hat{R}^\dagger = \sum_k \langle j\bar{k}| D_{km}^j(\hat{R}). \quad (227)$$

We also introduce

$$|j\bar{m}\rangle = (-1)^{j-m} |j, -m\rangle, \quad (228)$$

which is a function in  $\mathcal{V}$  that rotates like  $\langle jm|$

$$\hat{R}|j\bar{m}\rangle = \sum_k |j\bar{k}\rangle D_{km}^{j,*}(\hat{R}). \quad (229)$$

We may use the  $\bar{m}$  notation whenever convenient, e.g.

$$\langle j_1 m_1 j_2 \bar{m}_2 | JM \rangle = (-1)^{j_2 - m_2} \langle j_1, m_1, j_2, -m_2 | JM \rangle. \quad (230)$$

We note that the so called time reversal operator  $\hat{\Theta}$  is defined as

$$\hat{\Theta}|jm\rangle = |j\bar{m}\rangle. \quad (231)$$

We will not use this operator, but we just point out that it is defined to be *anti* linear

$$\hat{\Theta}\lambda|\Psi\rangle \equiv \lambda^* \hat{\Theta}|\Psi\rangle. \quad (232)$$

### A. Tensor operators

We recall Eq. (180), where we inserted the resolution of identity,

$$(\hat{R} \otimes \hat{R}) \sum_{m_1 m_2} |j_1 m_1\rangle |j_2 m_2\rangle \langle j_1 m_1 j_2 m_2 | JM \rangle = \sum_{m_1 m_2 k_1 k_2} |j_1 k_1\rangle |j_2 k_2\rangle D_{k_1 m_1}^{j_1}(\hat{R}) D_{k_2 m_2}^{j_2}(\hat{R}) \langle j_1 m_1 j_2 m_2 | JM \rangle \quad (233)$$

$$= \sum_K \left[ \sum_{k_1 k_2} |j_1 k_1\rangle |j_2 k_2\rangle \langle j_1 k_1 j_2 k_2 | JK \rangle \right] D_{KM}^J(\hat{R}). \quad (234)$$

This suggest the definition of the operator

$$\hat{T}_{JM}(j_1 j_2) = \sum_{m_1 m_2} |j_1 m_1\rangle \langle j_2 \bar{m}_2 | \langle j_1 m_1 j_2 m_2 | JM \rangle, \quad (235)$$

which rotates exactly like a  $|JM\rangle$ . Completely analogous to Eq. (233) we find

$$\hat{T}_{JM}^{BF}(j_1 j_2) \equiv \hat{R} \hat{T}_{JM}(j_1 j_2) \hat{R}^\dagger \quad (236)$$

$$= \sum_{m_1 m_2} \hat{R} |j_1 m_1\rangle \langle j_2 \bar{m}_2 | \hat{R}^\dagger \langle j_1 m_1 j_2 m_2 | JM \rangle \quad (237)$$

$$= \sum_{m_1 m_2 k_1 k_2} |j_1 k_1\rangle \langle j_2 \bar{k}_2 | D_{k_1 m_1}^{j_1}(\hat{R}) D_{k_2 m_2}^{j_2}(\hat{R}) \langle j_1 m_1 j_2 m_2 | JM \rangle \quad (238)$$

$$= \sum_K \sum_{k_1 k_2} |j_1 k_1\rangle \langle j_2 \bar{k}_2 | \langle j_1 k_1 j_2 k_2 | JK \rangle D_{KM}^J(\hat{R}) \quad (239)$$

$$= \sum_K \hat{T}_{JK}(j_1 j_2) D_{KM}^J(\hat{R}). \quad (240)$$

The operators  $|j_1 m_1\rangle \langle j_2 \bar{m}_2 |$  constitute an orthonormal operator basis since

$$\text{Tr}([|j_1 m_1\rangle \langle j_2 \bar{m}_2 |]^\dagger |j'_1 m'_1\rangle \langle j'_2 \bar{m}'_2 |) = \delta_{j_1 j'_1} \delta_{j_2 j'_2} \delta_{m_1 m'_1} \delta_{m_2 m'_2} \quad (241)$$

and from the orthogonality relations of the CG coefficients we find

$$\text{Tr}(\hat{T}_{JM}(j_1 j_2)^\dagger \hat{T}_{J'M'}(j'_1 j'_2)) = \sum_{m_1 m_2} \langle j_1 m_1 j_2 m_2 | JM \rangle \langle j_1 m_1 j_2 m_2 | J' M' \rangle = \delta_{JJ'} \delta_{MM'} \delta_{j_1 j'_1} \delta_{j_2 j'_2}. \quad (242)$$

Thus, if we expand the operators  $\hat{A}$  and  $\hat{B}$  as

$$\hat{A} = \sum_{JM j_1 j_2} A_{JM}(j_1 j_2) \hat{T}_{JM}(j_1 j_2) \quad (243)$$

$$\hat{B} = \sum_{JM j_1 j_2} B_{JM}(j_1 j_2) \hat{T}_{JM}(j_1 j_2) \quad (244)$$

we find for the scalar product

$$\text{Tr}(\hat{A}^\dagger \hat{B}) = \sum_{JM j_1 j_2} A_{JM}^*(j_1 j_2) B_{JM}(j_1 j_2). \quad (245)$$

This is our main result. The outcome of any experiment can be written as

$$\text{Tr}(\hat{\rho}^\dagger \hat{T}) = \sum_{JM j_1 j_2} \rho_{JM}^*(j_1 j_2) T_{JM}(j_1 j_2) \quad (246)$$

Since the components of  $T$  are known for a given experiment, this equation shows immediately what information about the system, i.e., the density matrix  $\hat{\rho}$  we can obtain.

Any operator that can be written as

$$\hat{A}_{JM} = \sum_{j_1 j_2} a_{j_1 j_2} \hat{T}_{JM}(j_1 j_2) \quad (247)$$

is called an irreducible tensor operator. It rotates like

$$\hat{R}\hat{A}_{JM}\hat{R}^\dagger = \sum_K \hat{A}_{JK}D_{KM}^J(\hat{R}) \quad (248)$$

and its matrix elements are

$$\langle jm|\hat{A}_{JM}|jm'\rangle = a_{jj'}(\sqrt{2J+1})(-1)^{j-m} \begin{pmatrix} j & J & j' \\ -m & M & m' \end{pmatrix} \quad (249)$$

This result is known as the *Wigner-Eckart* theorem. The coefficient  $a_{jj'}$  is called the reduced matrix element and it is often written as  $\langle j||\hat{A}||j'\rangle$ .

Gerrit C. Groenenboom, Nijmegen, November 1999

### Appendix A: exercises

1. Derive the second equality sign in Eq. (22).
2. Show that  $N^3 = -N$  (Eq. 41).
3. Do the summation in Eq. (44).
4. Show that  $e^{-i\alpha\hat{p}}|x\rangle$ , is an eigenfunction of  $\hat{x}$ , using *only* the definition  $\hat{x}|x\rangle = x|x\rangle$  and the assumption that  $\hat{x}$  and  $\hat{p}$  are Hermitian operators with the commutation relation  $[\hat{x}, \hat{p}] = i$ . What is the eigenvalue?
5. Derive the following relations for the Levi-Civita tensor (Eq. 68)

$$e_{ijk}e_{ij'k'} = \delta_{jj'}\delta_{kk'} - \delta_{jk'}\delta_{kj'} \quad (250)$$

$$e_{ijk}e_{ijk'} = 2\delta_{kk'} \quad (251)$$

$$e_{ijk}e_{ijk} = 6, \quad (252)$$

where we used Einstein summation convention: summation over repeated indices is implicit.

6. Show that

$$\mathbf{x} \times (\mathbf{y} \times \mathbf{z}) = (\mathbf{x}, \mathbf{z})\mathbf{y} - (\mathbf{x}, \mathbf{y})\mathbf{z}. \quad (253)$$

7. Using the last equation verify Eq. (64).
8. Derive Eq. (51). Hint: work out  $\det(U[\mathbf{xyz}])$  in two ways, or use the Levi-Civita tensor.
9. Show that

$$B(t) = e^{tA}Be^{-tA} \quad (254)$$

satisfies the equation

$$B(0) = B, \quad \frac{d}{dt}B(t) = [A, B(t)] \quad (255)$$

and therefore

$$B(t) = B + \int_0^t d\tau [A, B(\tau)]. \quad (256)$$

Solve the last equation by iteration to derive Eq. (60)

10. Show that  $\sum_{J=|j_1-j_2|}^{j_1+j_2} (2J+1) = (2j_1+1)(2j_2+1)$ . Hint: draw a grid of points  $(m_1, m_2)$  with  $m_i = -j_i \dots j_i$ .
11. Compute the  $d^{\frac{1}{2}}(\beta)$  matrix [Eq. (121)].